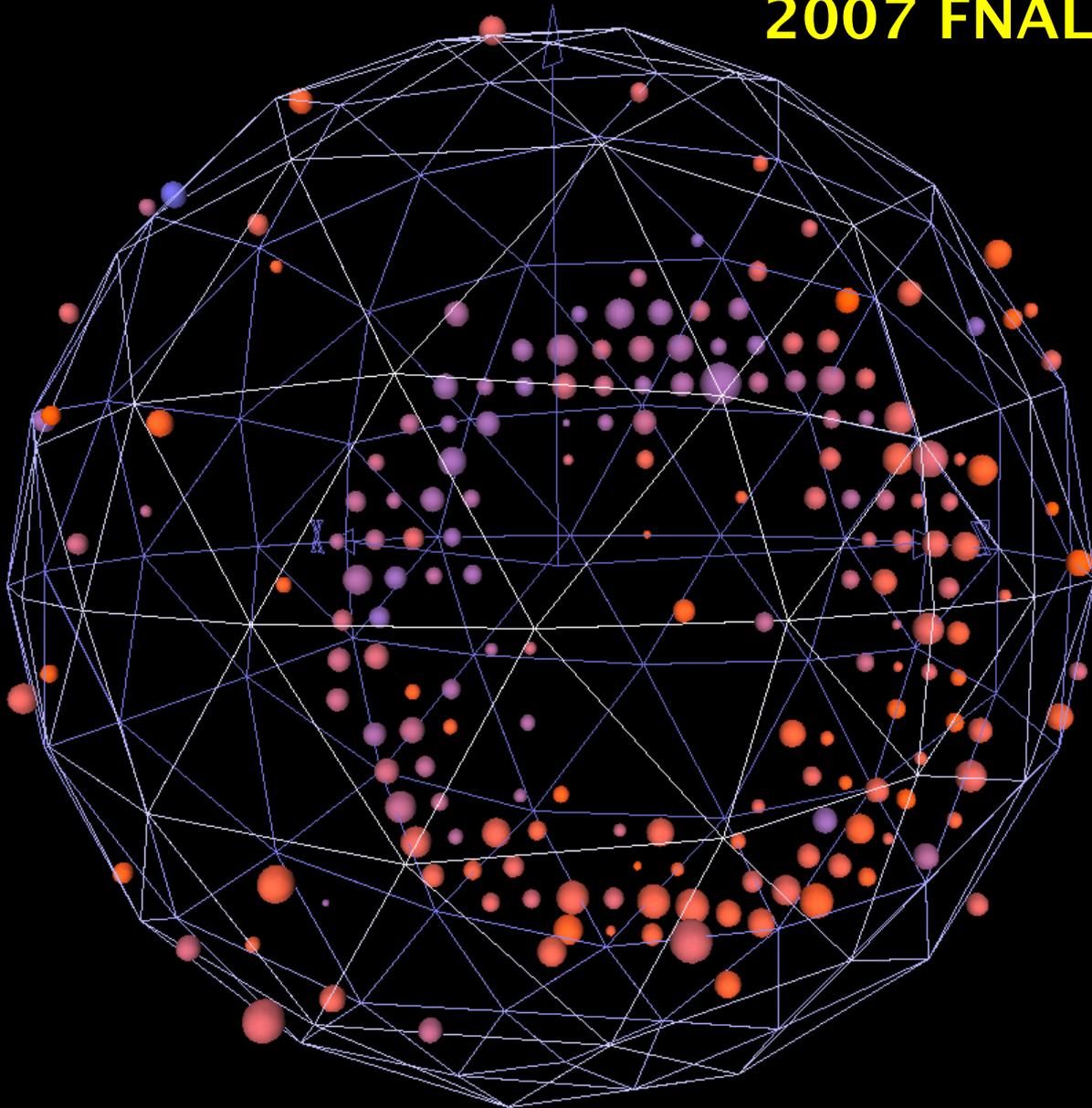


# Results from MiniBooNE

2007 FNAL Users' Meeting

Chris Polly  
Indiana University



# The MiniBooNE Collaboration

A. A. Aguilar-Arevalo, A. O. Bazarko, S. J. Brice, B. C. Brown,  
L. Bugel, J. Cao, L. Coney, J. M. Conrad, D. C. Cox, A. Curioni,  
Z. Djurcic, D. A. Finley, B. T. Fleming, R. Ford, F. G. Garcia,  
G. T. Garvey, J. A. Green, C. Green, T. L. Hart, E. Hawker,  
R. Imlay, R. A. Johnson, P. Kasper, T. Katori, T. Kobilarcik,  
I. Kourbanis, S. Koutsoliotas, J. M. Link, Y. Liu, Y. Liu,  
W. C. Louis, K. B. M. Mahn, W. Marsh, P. S. Martin, G. McGregor,  
W. Metcalf, P. D. Meyers, F. Mills, G. B. Mills, J. Monroe,  
C. D. Moore, R. H. Nelson, P. Nienaber, S. Ouedraogo,  
R. B. Patterson, D. Perevalov, C. C. Polly, E. Prebys, J. L. Raaf,  
H. Ray, B. P. Roe, A. D. Russell, V. Sandberg, R. Schirato,  
D. Schmitz, M. H. Shaevitz, F. C. Shoemaker, D. Smith, M. Sorel,  
P. Spentzouris, I. Stancu, R. J. Stefanski, M. Sung, H. A. Tanaka,  
R. Tayloe, M. Tzanov, M. O. Wascko, R. Van de Water, D. H. White,  
M. J. Wilking, H. J. Yang, G. P. Zeller, E. D. Zimmerman



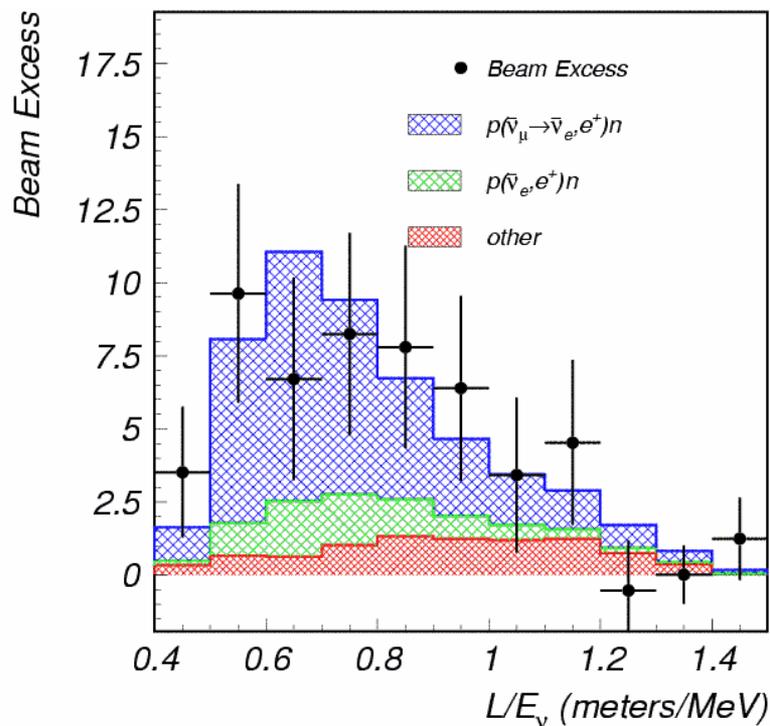
University of Alabama  
Bucknell University  
University of Cincinnati  
University of Colorado  
Columbia University  
Embry Riddle University  
Fermilab  
Indiana University

Los Alamos National Laboratory  
Louisiana State University  
University of Michigan  
Princeton University  
Saint Mary's University of Minnesota  
Virginia Polytechnic Institute  
Western Illinois University  
Yale University



# MiniBooNE's Motivation: The LSND signal

\* See Gina Rameika's talk for a bigger picture



- LSND found an excess of  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam
- Signature: Cerenkov light from  $e^+$  with delayed n-capture (2.2 MeV)
- Excess:  $87.9 \pm 22.4 \pm 6.0$  ( $3.8\sigma$ )

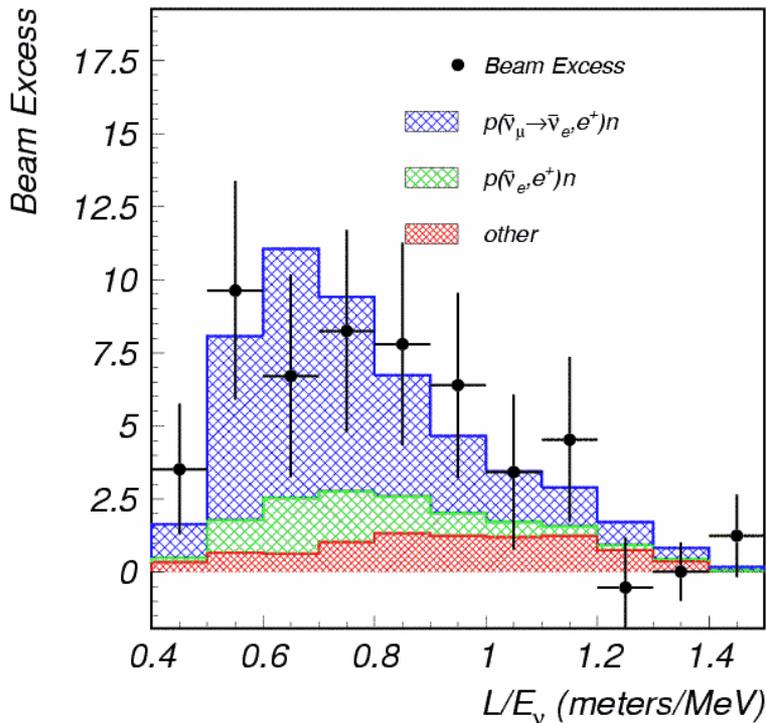
- Under a 2v mixing hypothesis:

$$\begin{aligned}
 P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) &= \sin^2(2\theta) \sin^2\left(\frac{1.27 L \Delta m^2}{E}\right) \\
 &= 0.245 \pm 0.067 \pm 0.045 \%
 \end{aligned}$$



# MiniBooNE's Motivation: The LSND signal

\* For  $\nu$  overview refer to Gina Rameika's talk from yesterday



- LSND found an excess of  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam
- Signature: Cerenkov light from  $e^+$  with delayed n-capture (2.2 MeV)
- Excess:  $87.9 \pm 22.4 \pm 6.0$  ( $3.8\sigma$ )

● Under a 2 $\nu$  mixing hypothesis:

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \sin^2(2\theta) \sin^2\left(\frac{1.27 L \Delta m^2}{E}\right)$$

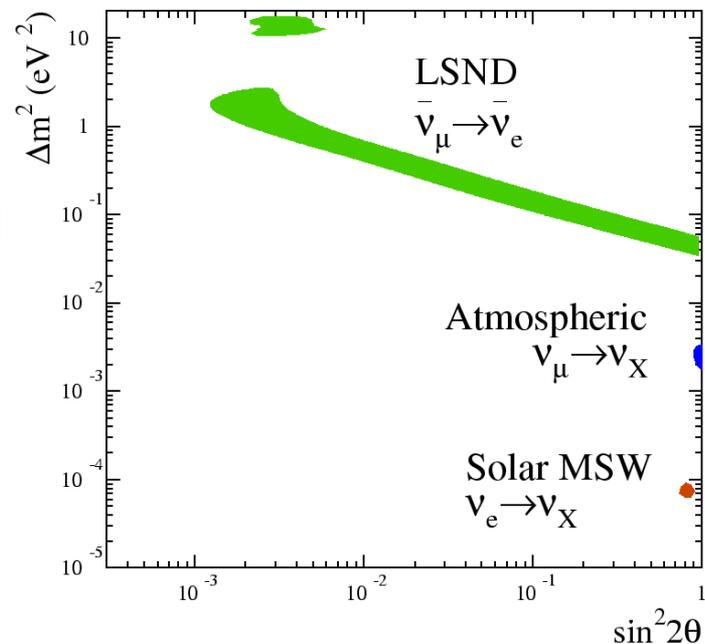
$$= 0.245 \pm 0.067 \pm 0.045 \%$$

● LSND  $\Delta m^2 \sim 1 \text{ eV}^2$  impossible to reconcile with other two measured mixings in 3 $\nu$  world

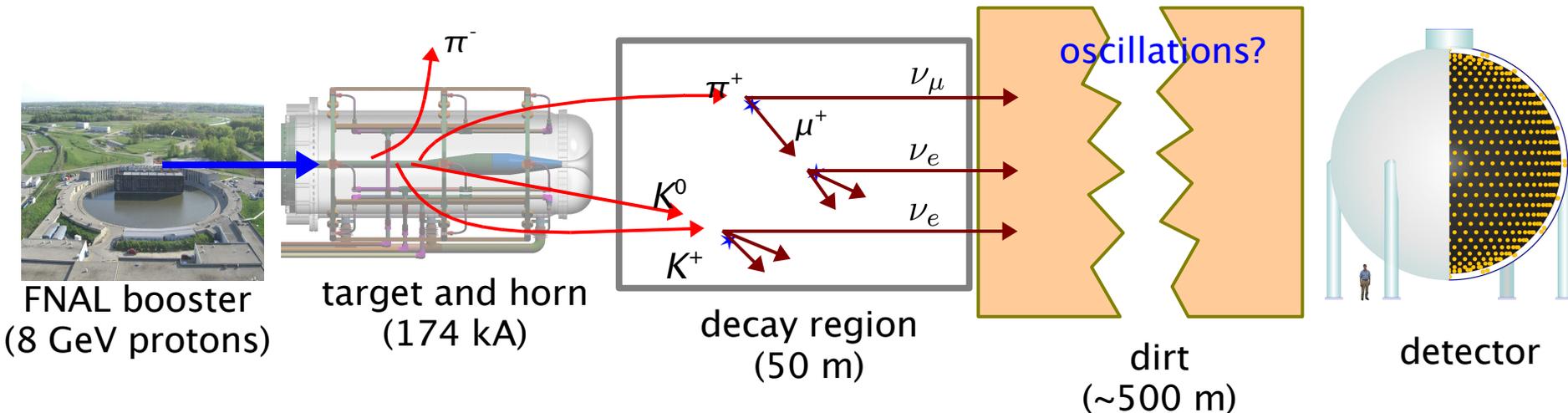
● Requires extraordinary physics!

- ➔ Sterile neutrinos [hep-ph/0305255](#)
- ➔ Neutrino decay [hep-ph/0602083](#)
- ➔ Lorentz/CPT violation [PRD\(2006\)105009](#)
- ➔ Extra dimensions [hep-ph/0504096](#)

● Unlike atmos and solar... **LSND unconfirmed**

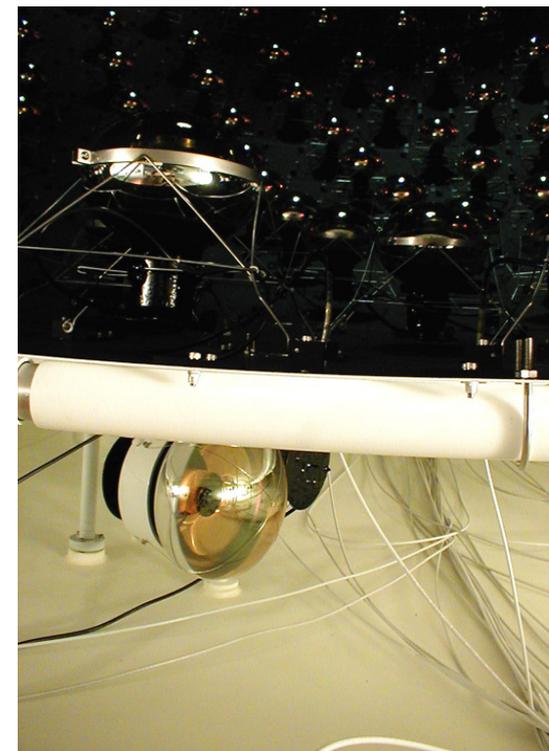


# The MiniBooNE design strategy



- Start with 8 GeV proton beam from FNAL Booster
- Add a 174 kA pulsed horn to gain a needed x 6
- Requires running  $\nu$  (not anti- $\nu$ ) to get flux
- Pions decay to  $\nu$  with  $E_\nu$  in the 0.8 GeV range
- Place detector to preserve LSND L/E:
 

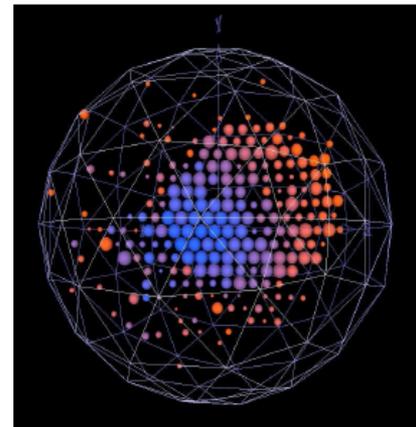
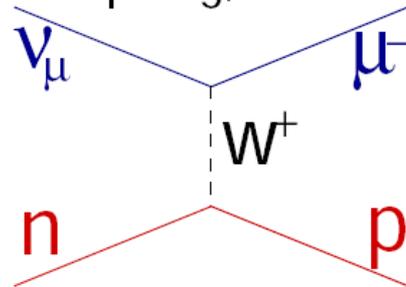
MiniBooNE:	(0.5 km) / (0.8 GeV)
LSND:	(0.03 km) / (0.05 GeV)
- Detect  $\nu$  interactions in 800T pure mineral oil detector
  - ➔ 1280 8" PMTs provide 10% coverage of fiducial volume
  - ➔ 240 8" PMTs provide active veto in outer radial shell



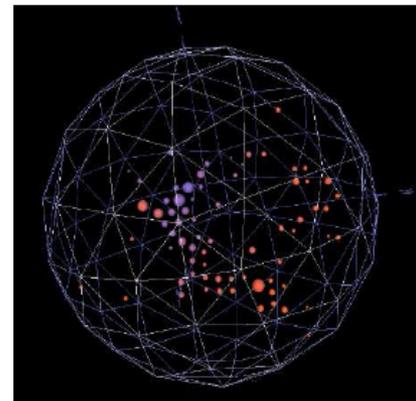
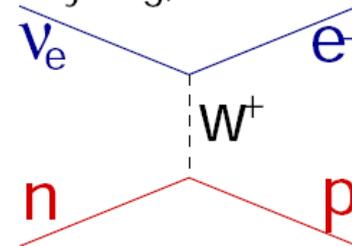
# Key points about the signal

- LSND oscillation probability is  $< 0.3\%$
- MiniBooNE has to distinguish  $\sim 200$   $\nu_e$  CCQE interactions in a sea of  $\sim 200,000$   $\nu_\mu$  CCQE
- Intrinsic  $\nu_e$  background
  - ➔ Actual  $\nu_e$  produced in the beamline from muons and kaons
  - ➔ Irreducible at the event level
  - ➔ E spectrum differs from signal
- Mis-identified events
  - ➔  $\nu_\mu$  CCQE easy to identify, i.e. 2 "subevents" instead of 1. However, lots of them.
  - ➔ Neutral-current (NC)  $\pi^0$  and radiative  $\Delta$  are rarer, but harder to separate
  - ➔ Can be reduced with better PID
- MiniBooNE is a ratio measurement with the  $\nu_\mu$  constraining flux X cross-section

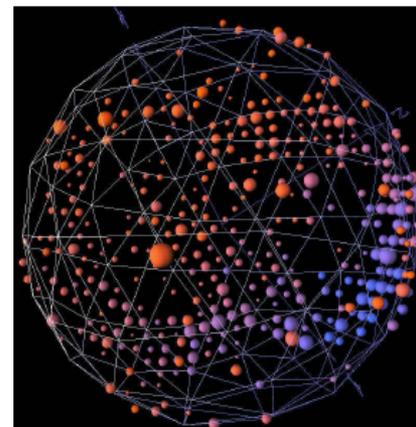
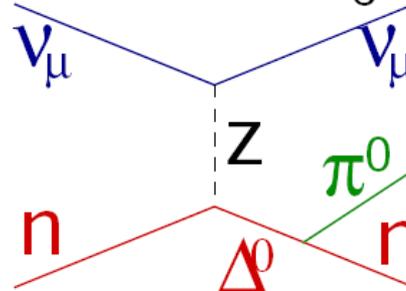
Muon candidate  
sharp ring, filled in



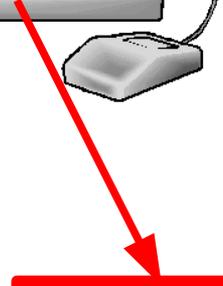
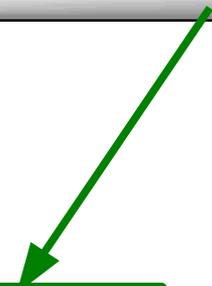
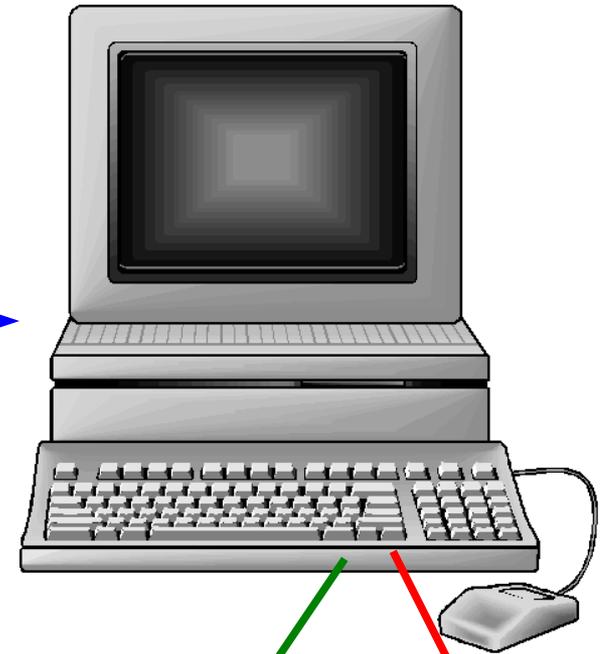
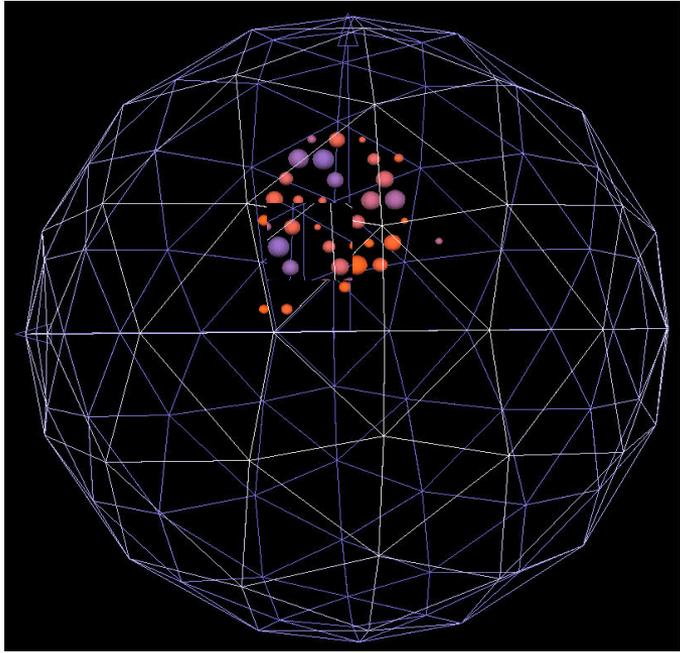
Electron candidate  
fuzzy ring, short track



Pion candidate  
two "e-like" rings



# Blind analysis in MiniBooNE



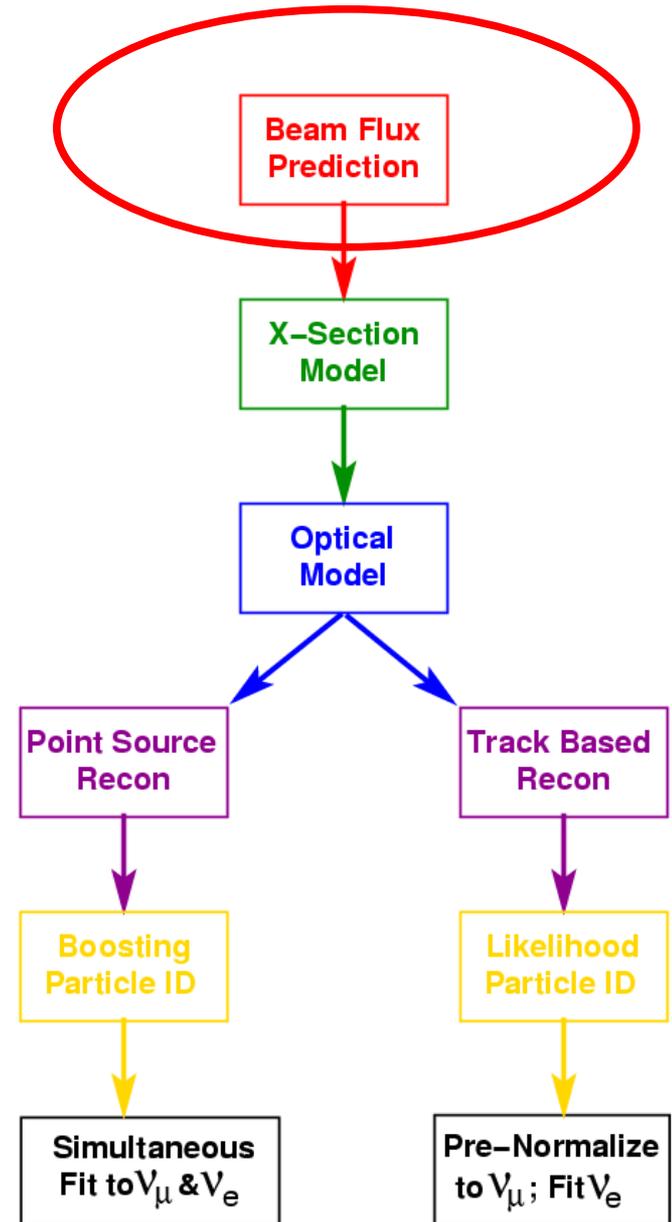
Other  
Boxes

Signal  
Box

- The MiniBooNE signal is small but relatively easy to isolate
- As data comes in it is classified into 'boxes'
- For boxes to be opened to analysis they must be shown to have a signal  $< 1\sigma$
- In the end, 99% of the data were available prior to unblinding...necessary to understand errors

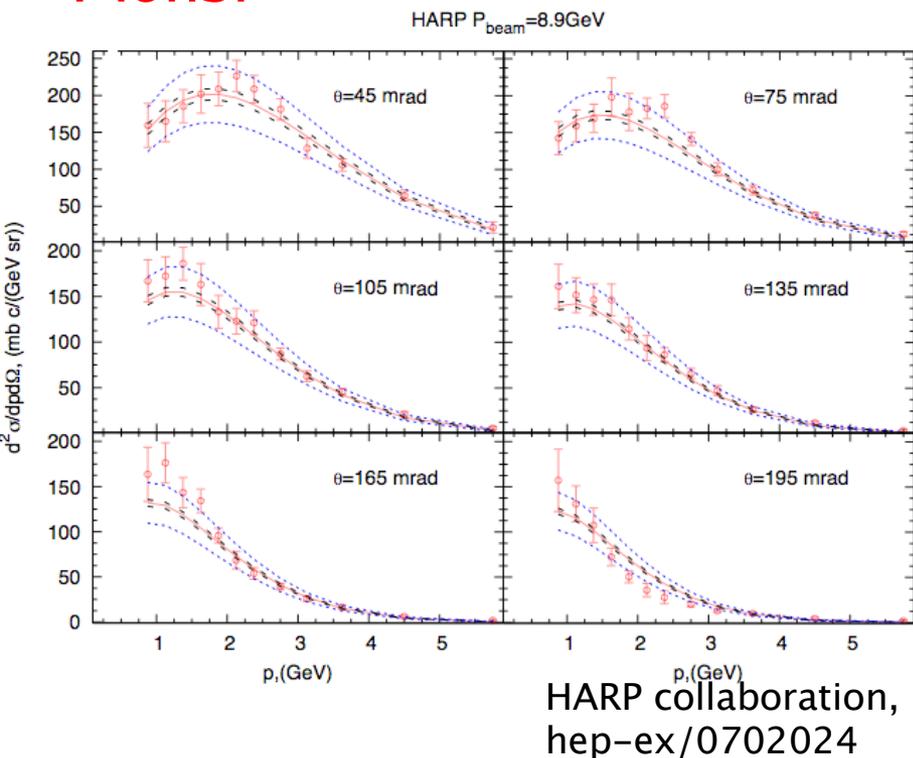


# Flux Prediction



# Meson production at the target

## Pions:



MiniBooNE members joined the HARP collaboration

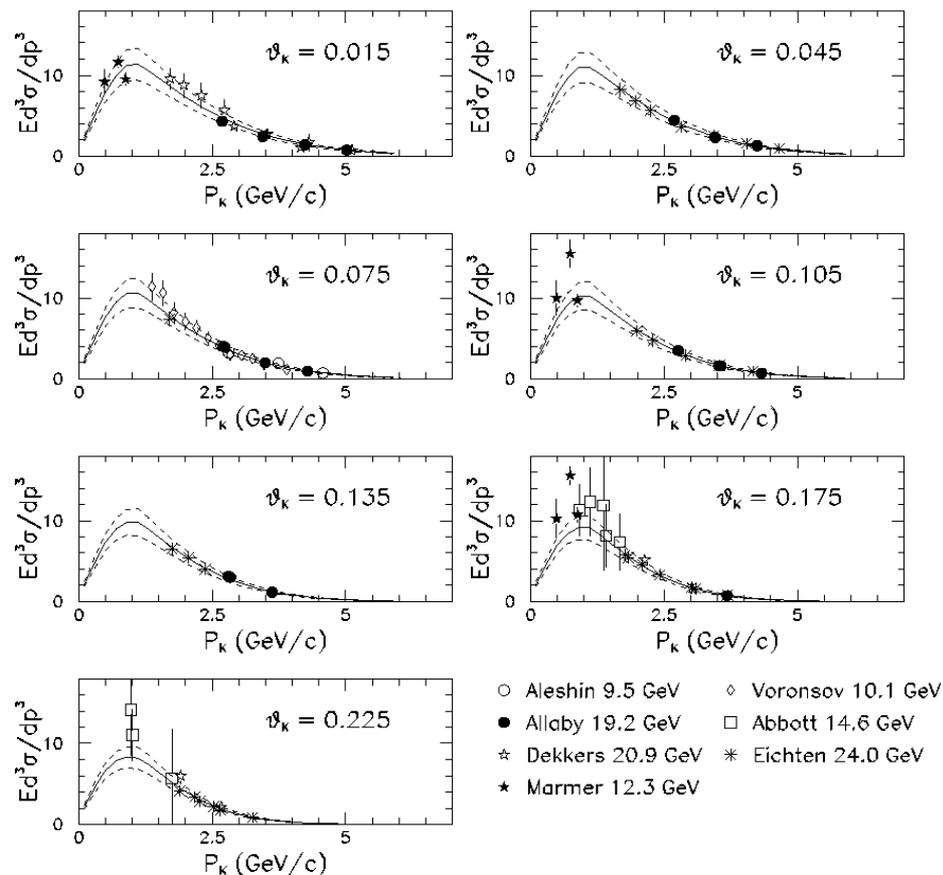
→ 8 GeV proton beam

→ 5%  $\lambda$  Beryllium target

Data were fit to Sanford–Wang parameterization

## Kaons:

$K^+$  Production Data and Fit (Scaled to  $P_{\text{beam}} = 8.89\text{ GeV}$ )



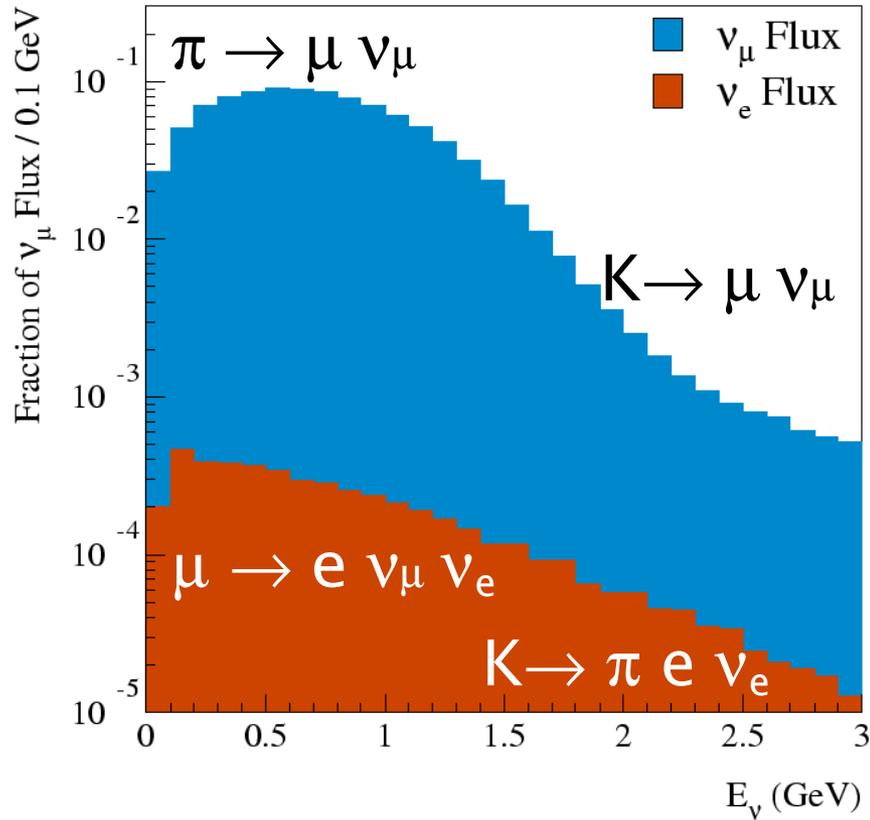
Kaon data taken on multiple targets in 10–24 GeV range

Fit to world data using Feynman scaling

30% overall uncertainty assessed



# Final neutrino flux estimation



$$\nu_e / \nu_\mu = 0.5\%$$

“Intrinsic”  $\nu_e + \bar{\nu}_e$  sources:

$$\mu^+ \rightarrow e^+ \bar{\nu}_\mu \nu_e \quad (52\%)$$

$$K^+ \rightarrow \pi^0 e^+ \nu_e \quad (29\%)$$

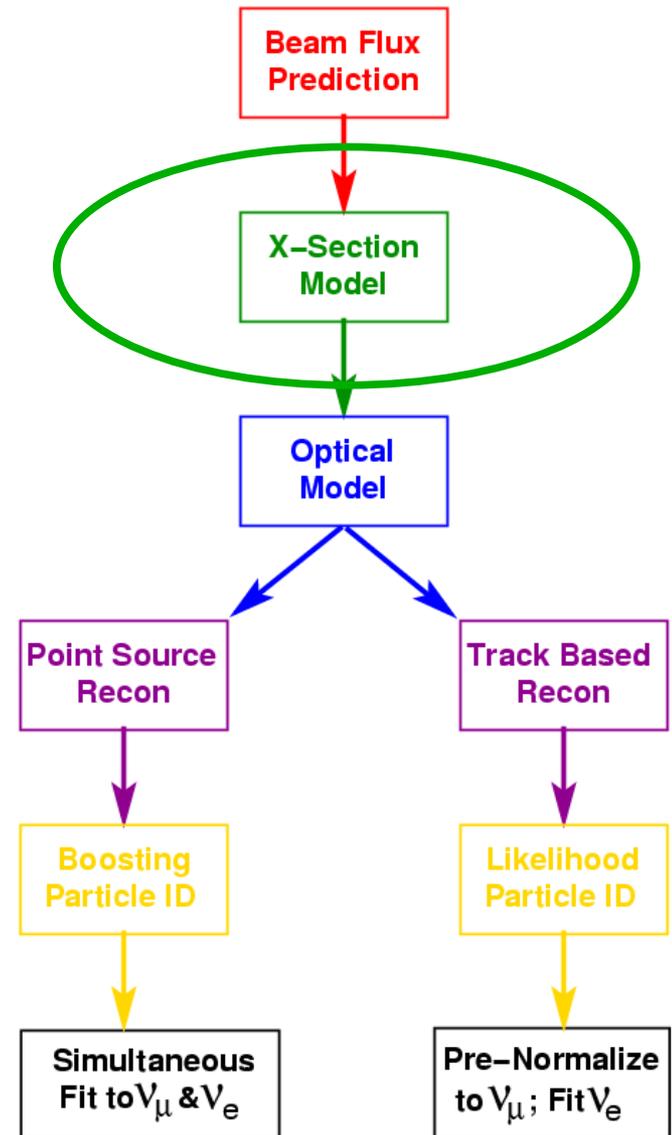
$$K^0 \rightarrow \pi e \nu_e \quad (14\%)$$

$$\text{Other} \quad (5\%)$$

Antineutrino content: 6%



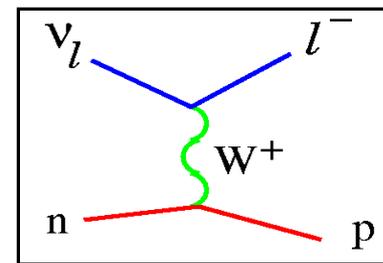
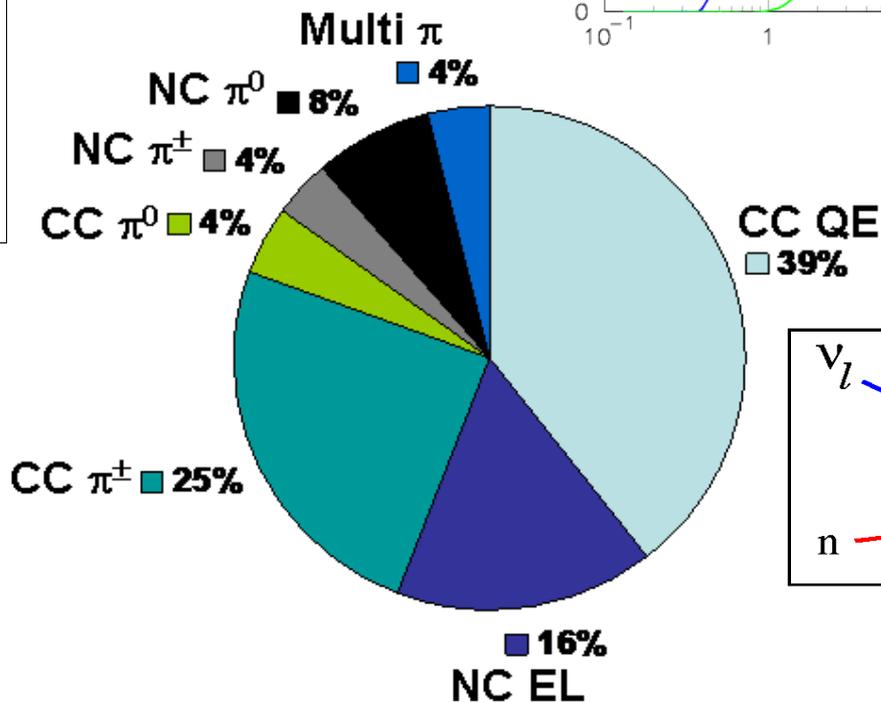
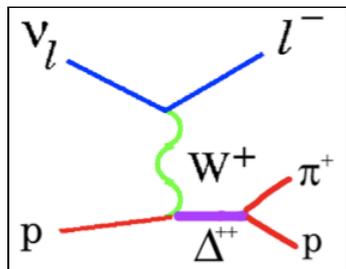
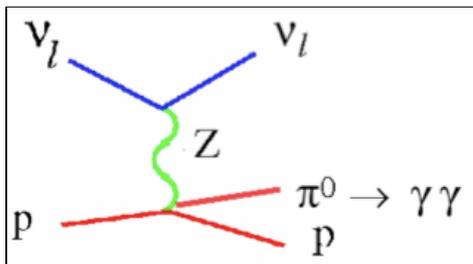
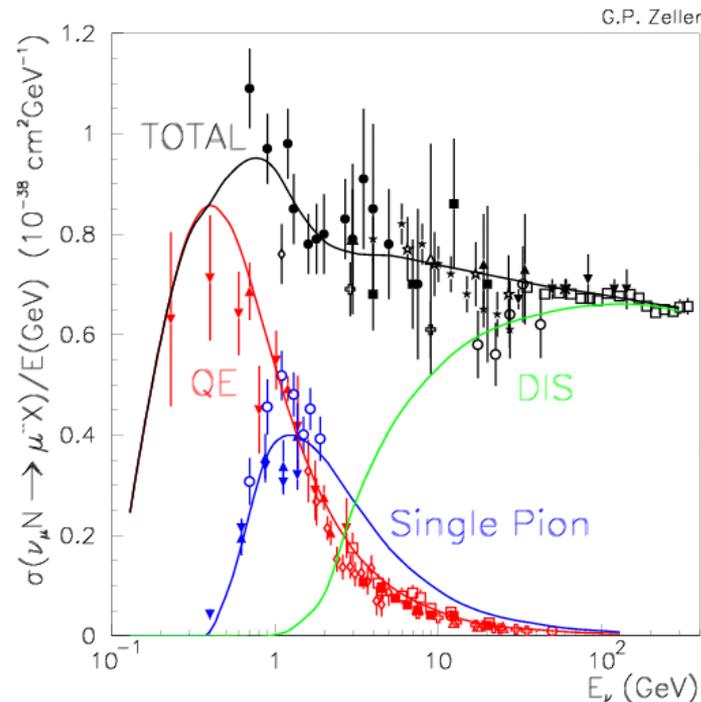
# X-Section Model



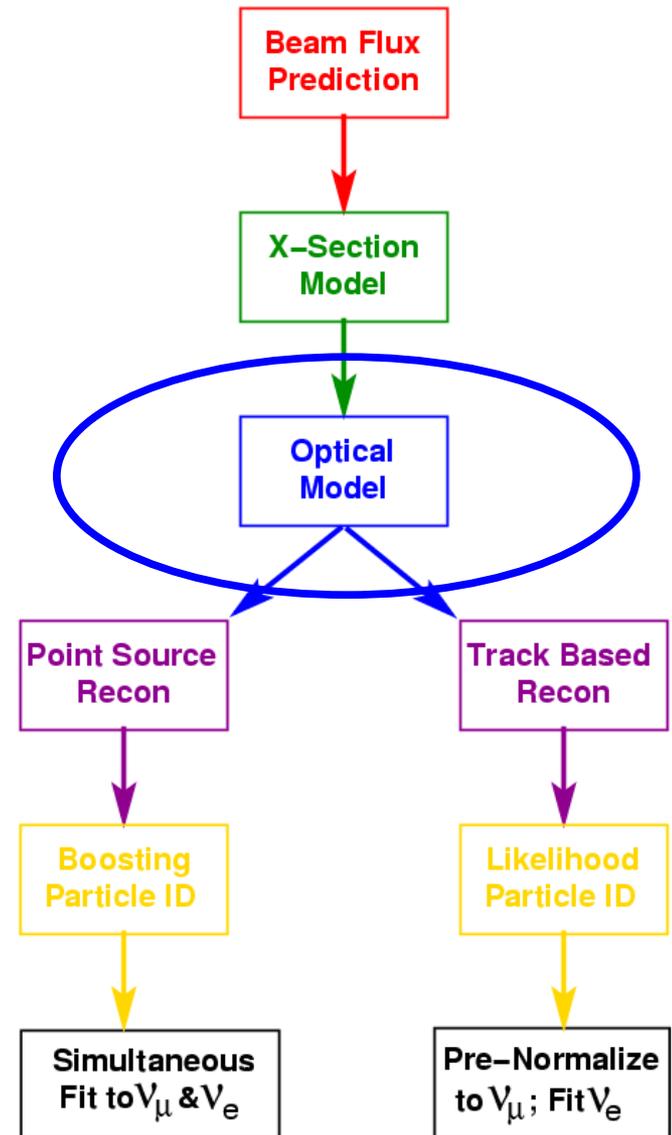
# Nuance Monte Carlo

D. Casper, NPS, 112 (2002) 161

- Used to predict rate of specific  $\nu$  interactions
- World data for various channels shown at right
- Tuned on external and internal data
- Expected interaction rate in MiniBooNE (before cuts) shown below

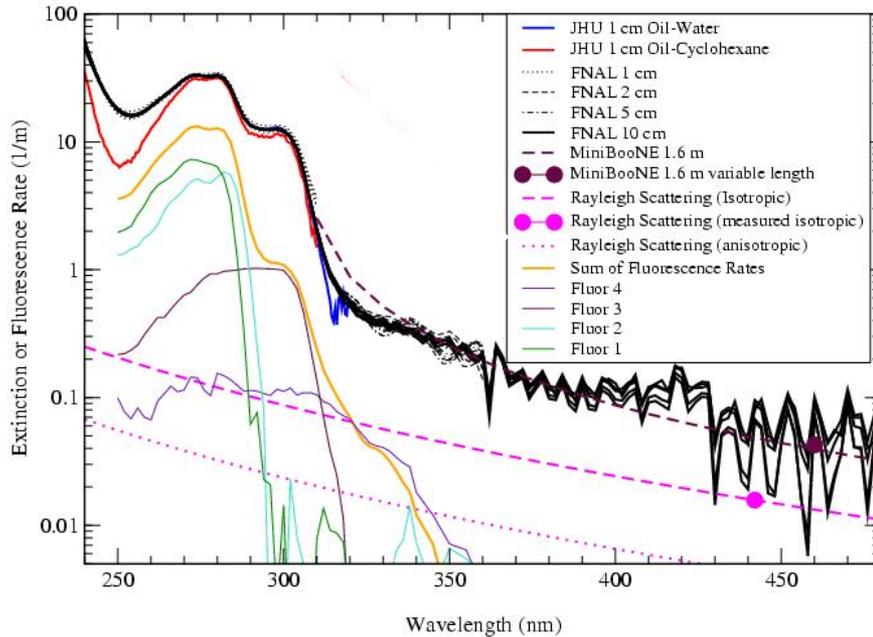


# Optical Model

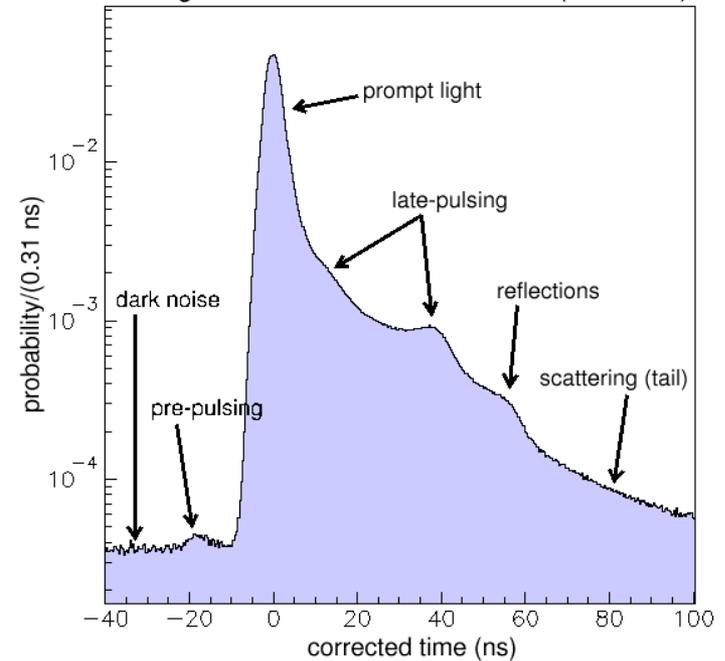


# Light propagation in the detector

Extinction Rate for MiniBooNE Marcol 7 Mineral Oil

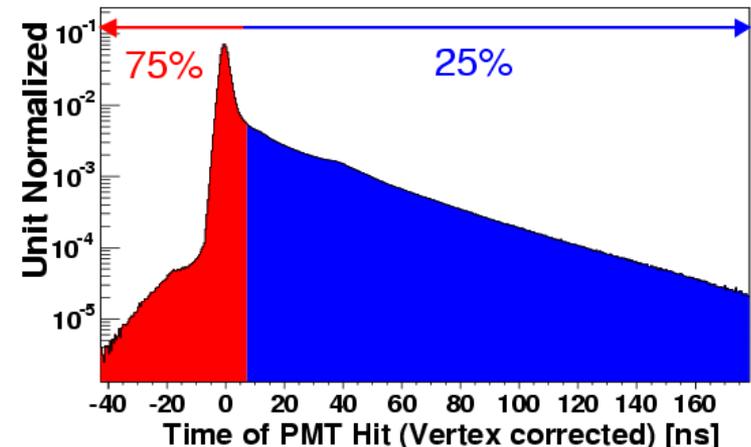


Timing Distribution for Laser Events

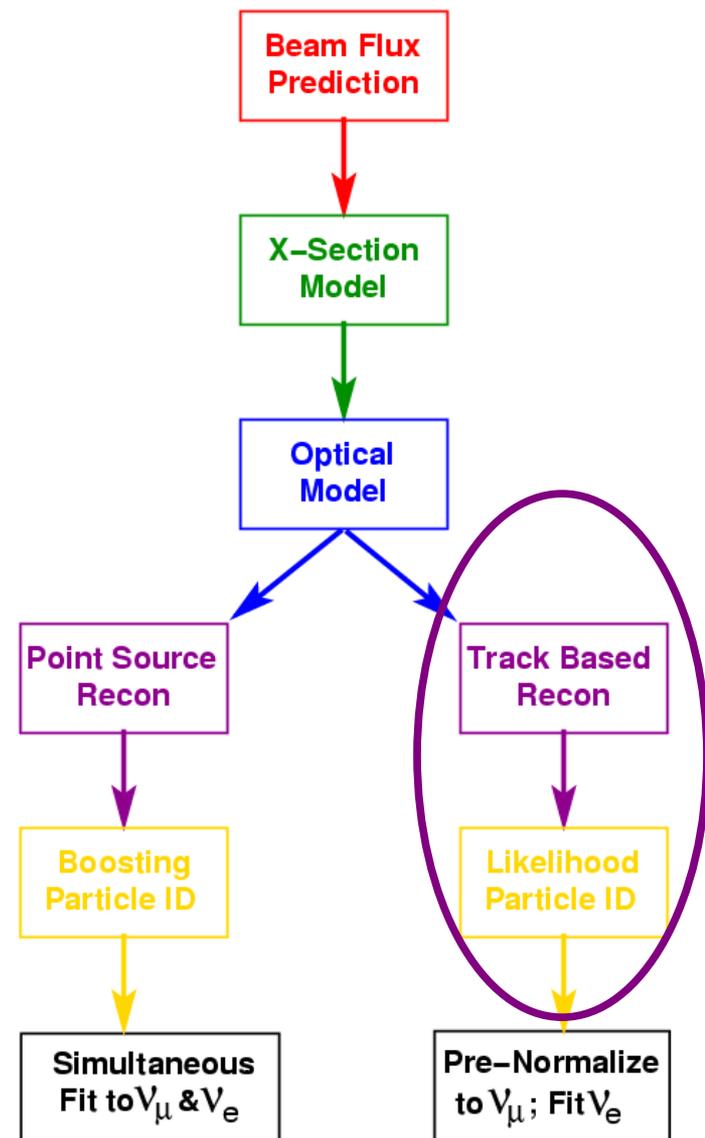


- Optical model is very complex
  - ➔ Cerenkov, scintillation, fluorescence
  - ➔ PMT Q/t response
  - ➔ Scattering, reflection, prepulses
- Overall, about 40 parameters

Michel electron  $t$  distribution

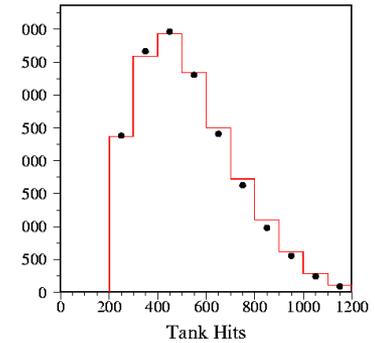
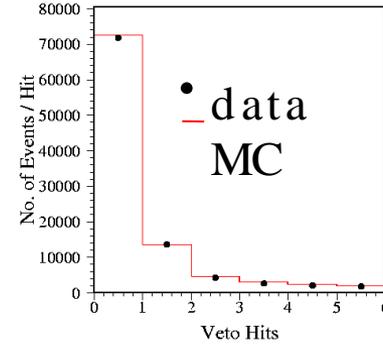
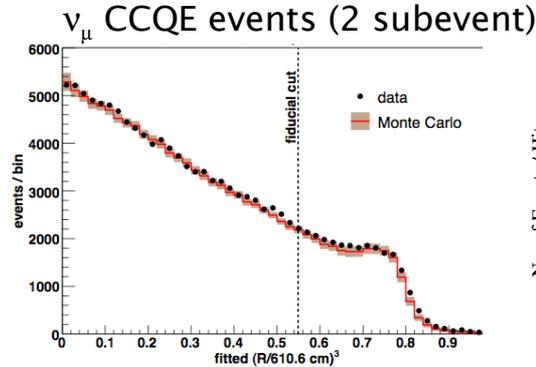


# Track-Based Likelihood (TBL) Reconstruction and Particle ID

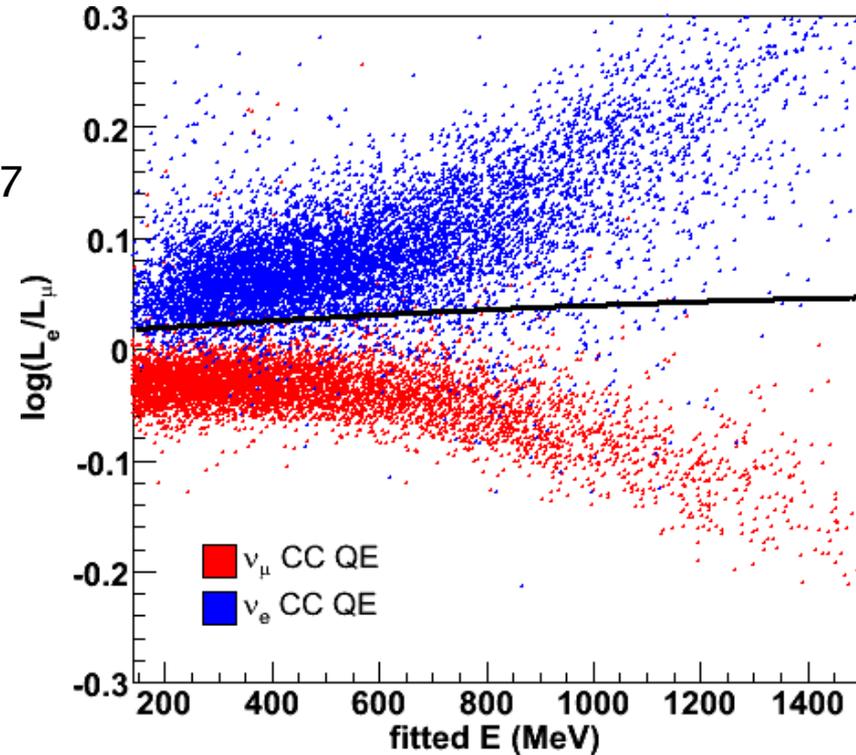
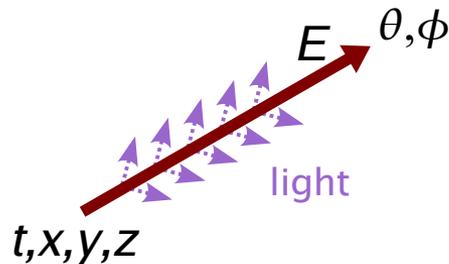


# TBL Analysis: Separating e from $\mu$

- Analysis pre-cuts
  - Only 1 subevent
  - Veto hits < 6
  - Tank hits > 200
  - Radius < 500 cm

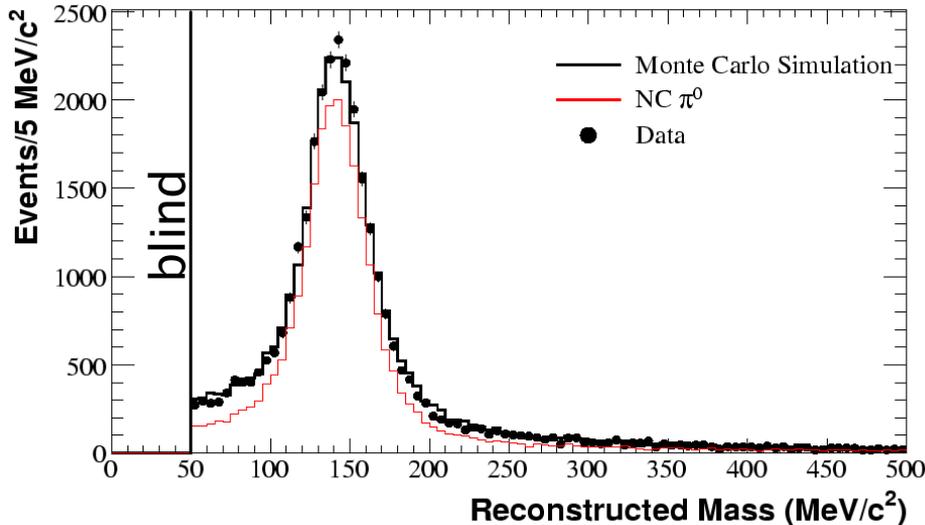
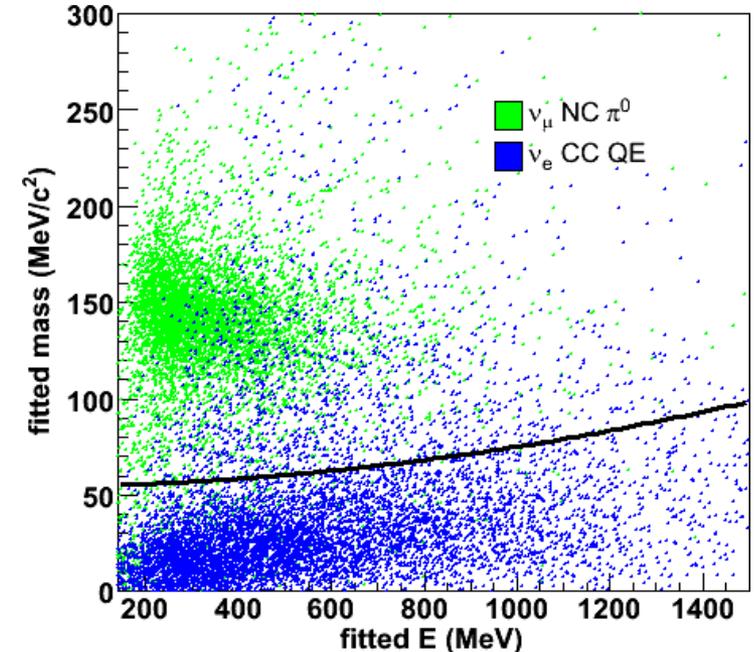
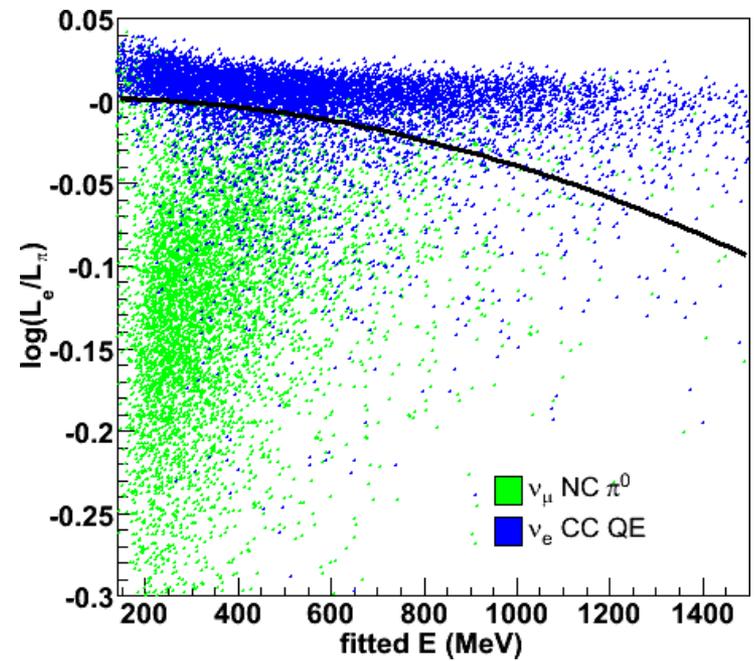
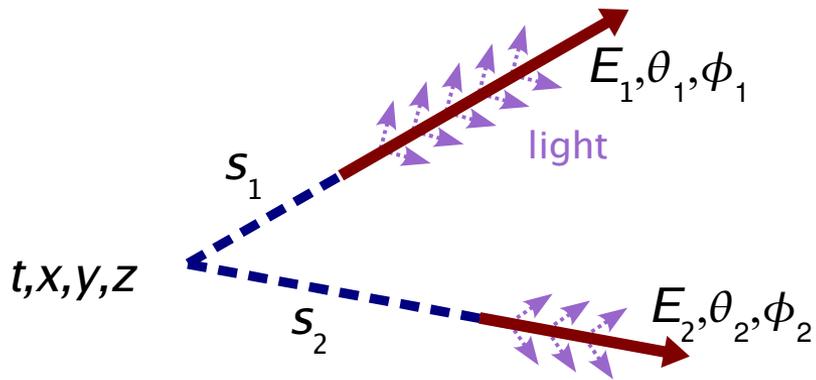


- Event is a collection of PMT-level info (q,t,x)
- Form sophisticated Q and T pdfs, and fit for 7 track parameters under 2 hypotheses
  - The track is due to an electron
  - The track is coming from a muon

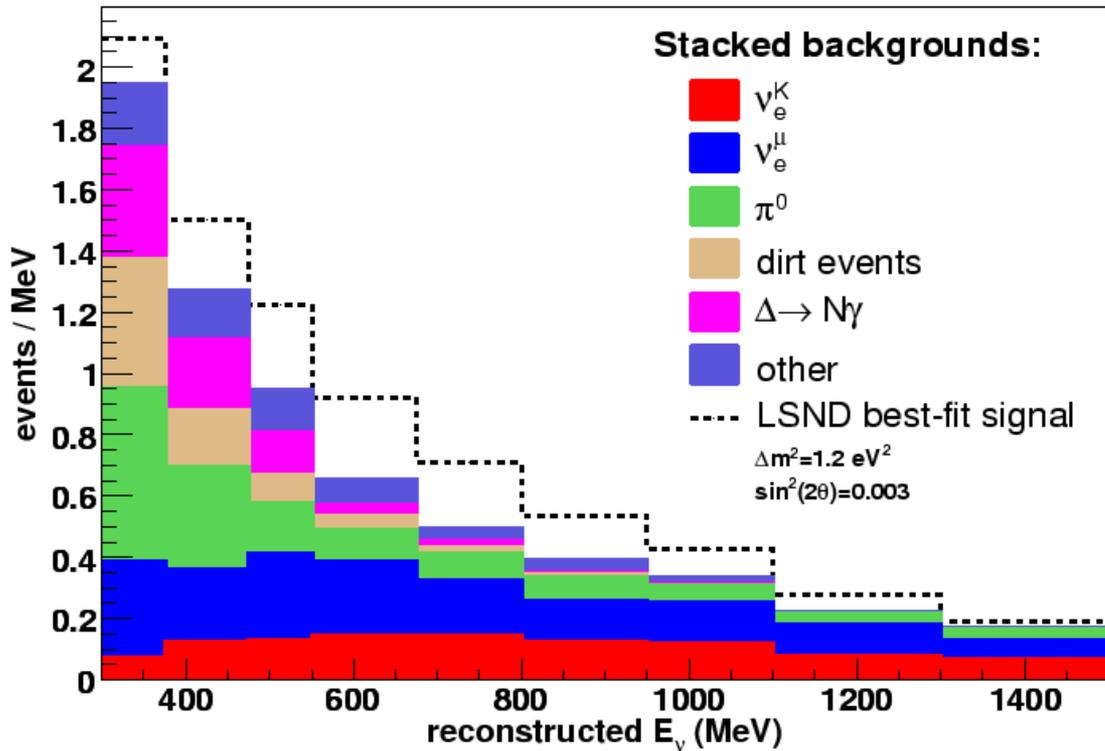


# Separating e from $\pi^0$

- Extend fit to include two e-like tracks
- Very tenacious fit...5 minutes per event
- Nearly 500k CPU hours used



# TBL Analysis: Expected event totals

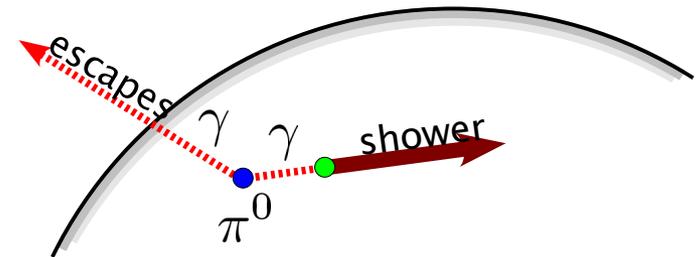
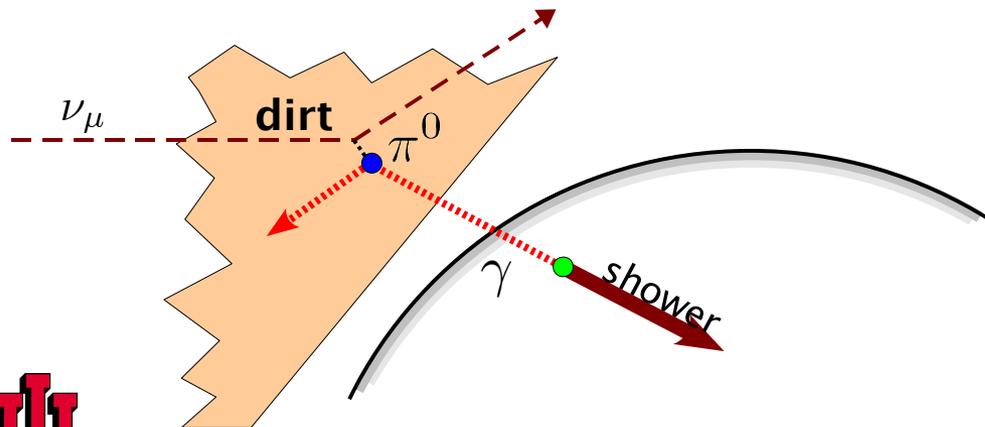


## 475 MeV - 1250 MeV

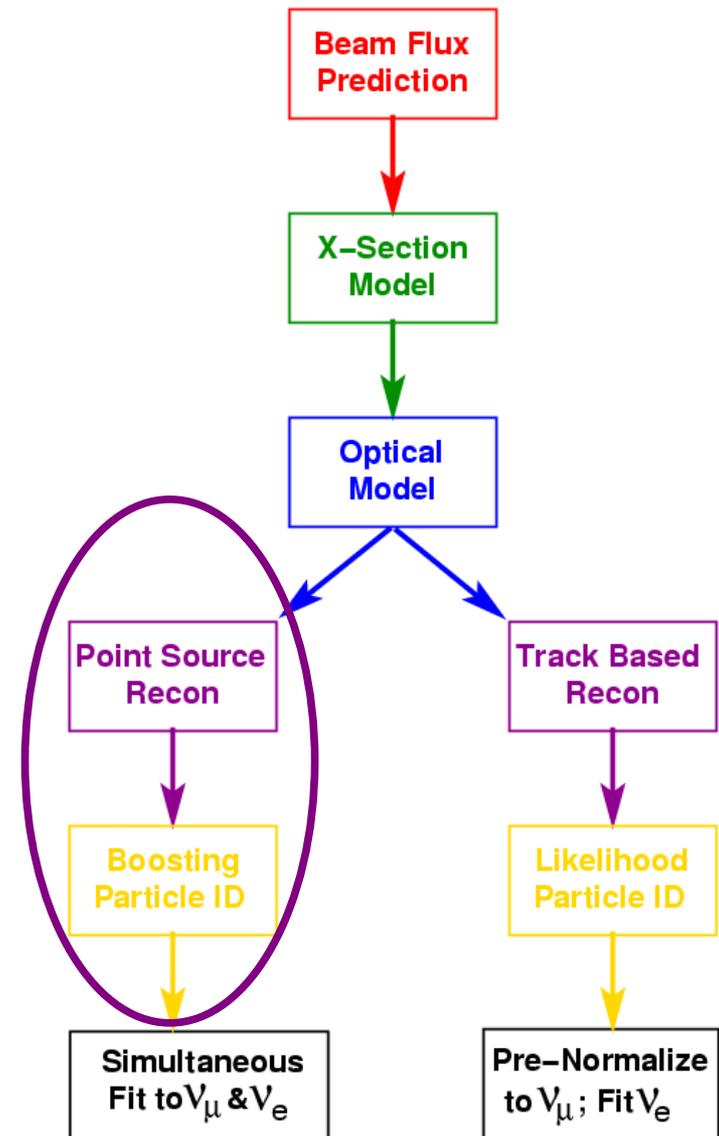
$\nu_e^K$	94
$\nu_e^\mu$	132
$\pi^0$	62
dirt	17
$\Delta \rightarrow N\gamma$	20
other	33
<b>total</b>	<b>358</b>

LSND best-fit  $\nu_\mu \rightarrow \nu_e$  126

$$S/\sqrt{B} = 6.8$$



# Boosted Decision Tree (BDT) Reconstruction and Particle ID

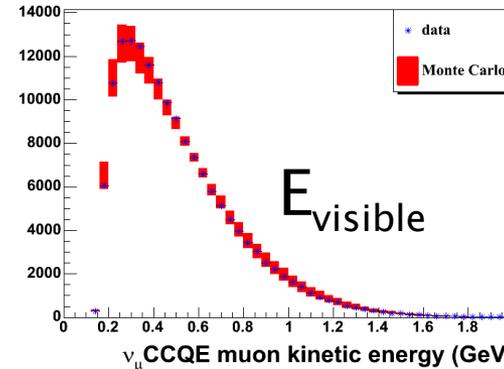


# BDT Reconstruction

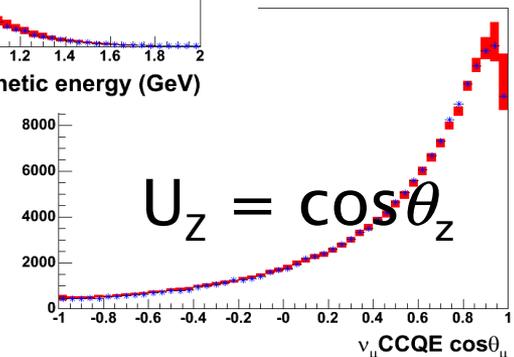
**BDT Resolution:**  
vertex: 24 cm  
direction:  $3.8^\circ$   
energy 14%

**TBL Resolution:**  
vertex: 22 cm  
direction:  $2.8^\circ$   
energy 11%

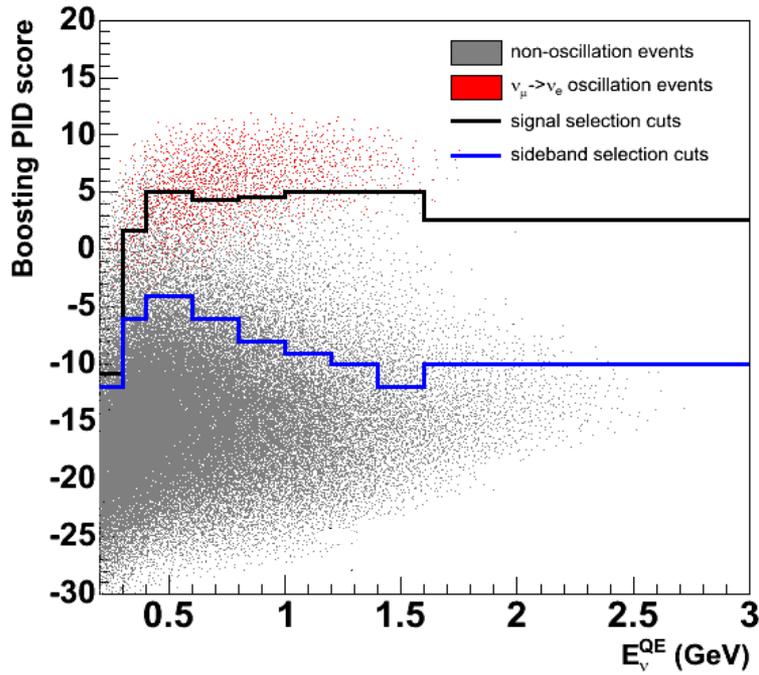
- Same pre-cuts as TBL (taking R from different reconstruction)
- Different reconstruction:
  - Treats particles more like point sources, *i.e.* not as careful about dE/dx
  - Not as tenacious about getting out of local minima, particularly with pion fit
  - Reconstruction runs nearly 10 times faster
- To make up for the simple fit, the BDT analysis relies on a form of machine learning, the boosted decision tree. Byron P. Roe, *et al.*, NIM A543 (2005) 577.
- Boosting Input Variables:
  - Low-level (# tank hits, early light fraction, etc.)
  - High-level ( $Q_2$ ,  $U_z$ , fit likelihoods, etc.)
  - Topology (charge in anuli, isotropic light, etc.)
- A total of 172 variables were used
- All 172 were checked for agreement within errors in 5 important 'boxes' ( $v_\mu$  CCQE, NC  $\pi^0$ , NC-elastic, Michel decay e, 10% closed)
- Boosting Output: Single 'score', + is signal-like



$v_\mu$  CCQE  
Examples



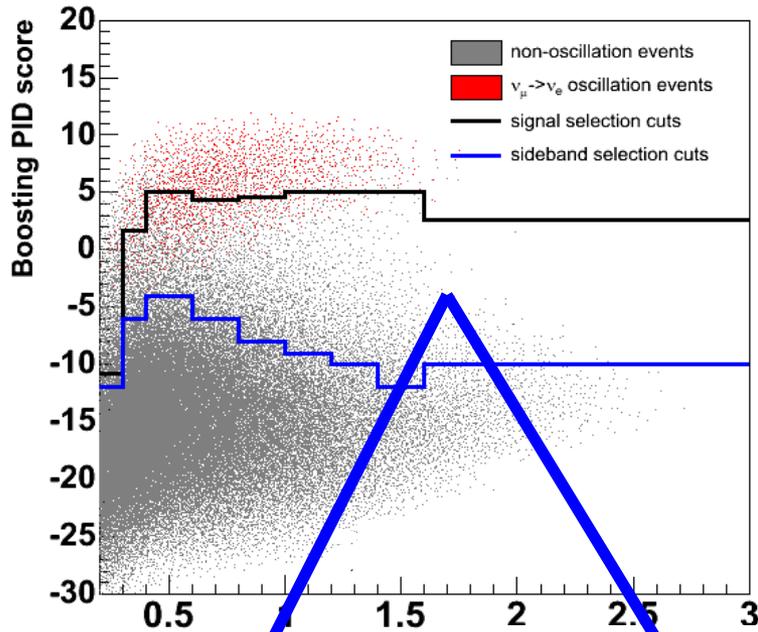
# BDT Analysis: Signal/background regions



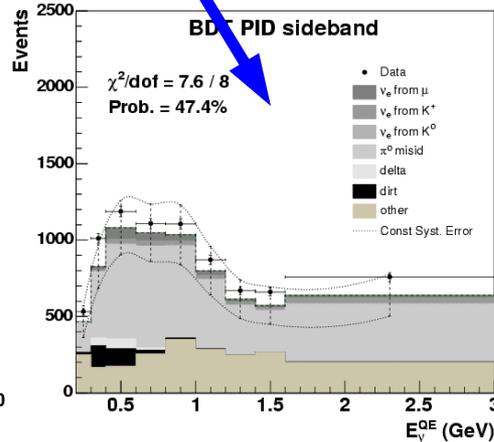
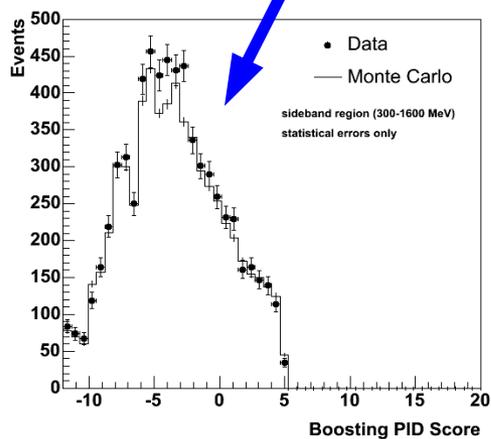
● Signal prediction (red) versus all bkgs (gray)



# BDT Analysis: Signal/background regions

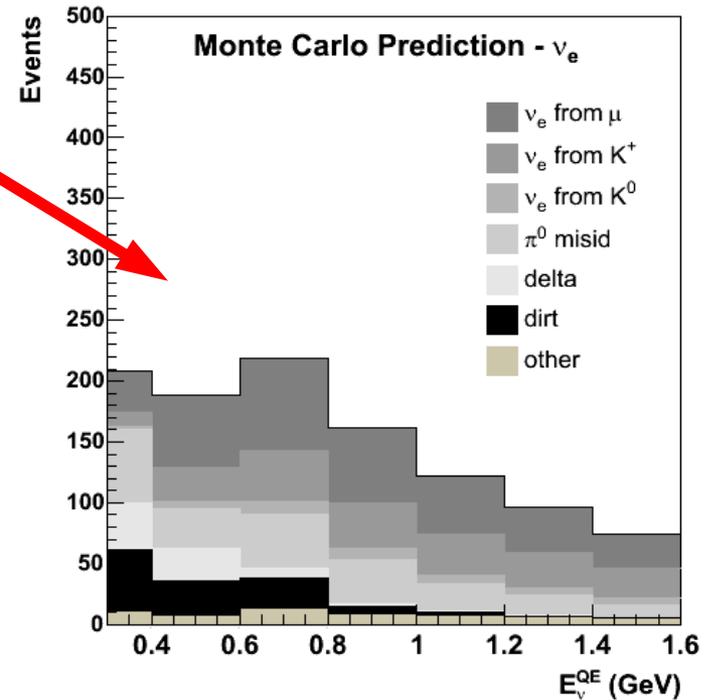
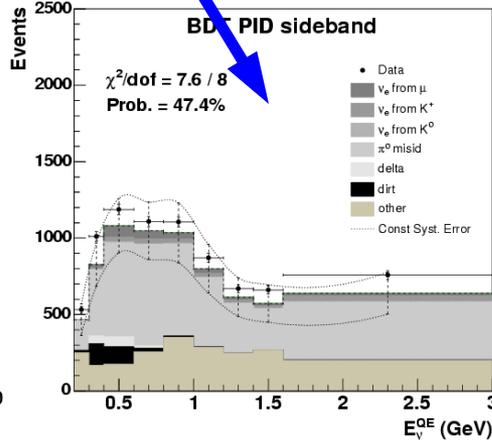
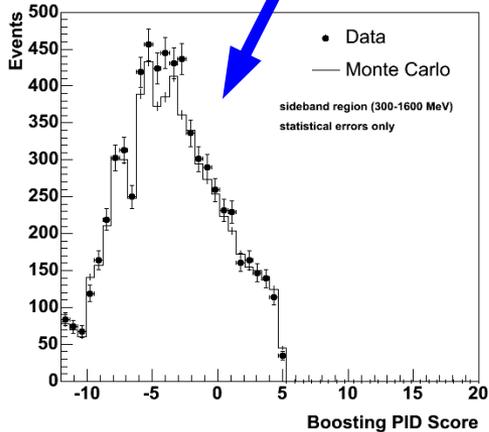
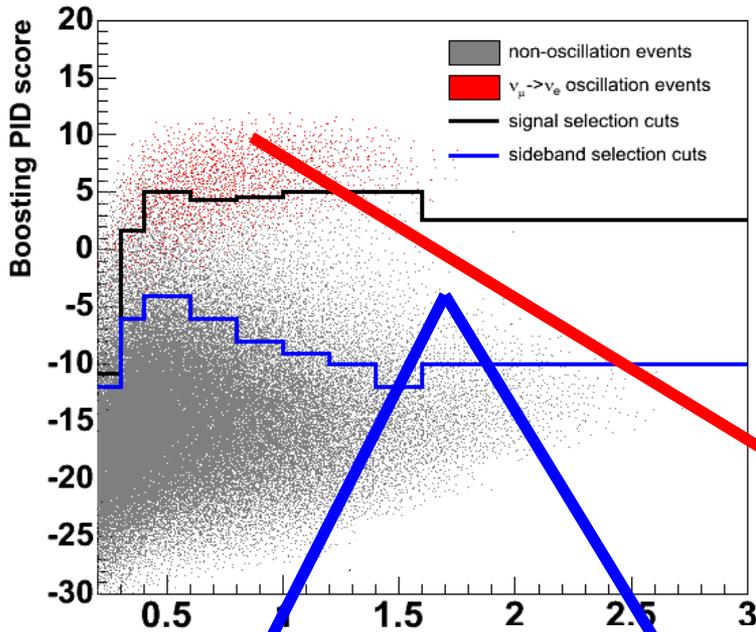


- Signal prediction (red) versus all bkgs (gray)
- Start by looking at data in 'sideband'...region immediately adjacent to signal region



# BDT Analysis: Signal/background regions

- Signal prediction (red) versus all bkg (gray)
- Start by looking at data in 'sideband'...region immediately adjacent to signal region
- Satisfied with agreement? Finalize background prediction
- In 500–1200 MeV range: 603 bkg, LSND best-fit  $\nu_\mu \rightarrow \nu_e$  203  **$S/\sqrt{B}=8.3$**

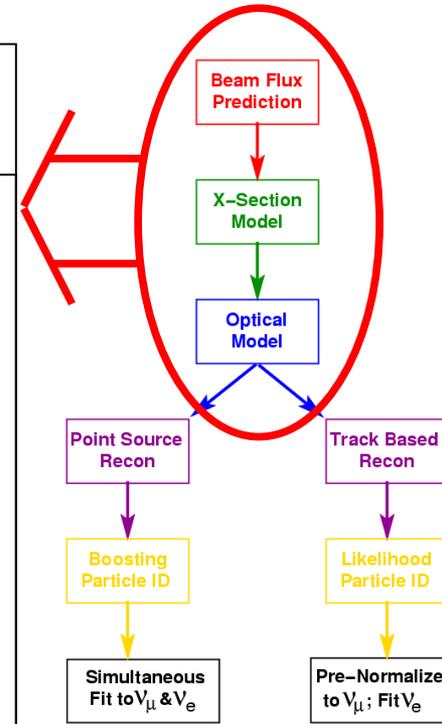


# Systematic Error Analysis and Results



# Final error budget (diagonals only...greatly simplified)

Source of uncertainty on $\nu_e$ background	TBL/BDT error in %	Constrained by MB data	Reduced by tying $\nu_e$ to $\nu_\mu$
Flux from $\pi^+/\mu^+$ decay	6.2 / 4.3	✓	✓
Flux from $K^+$ decay	3.3 / 1.0	✓	✓
Flux from $K^0$ decay	1.5 / 0.4	✓	✓
Target/beam models	2.8 / 1.3	✓	
$\nu$ -cross section	12.3 / 10.5	✓	✓
NC $\pi^0$ yield	1.8 / 1.5	✓	
Dirt interactions	0.8 / 3.4	✓	
Optical model	6.1 / 10.5	✓	✓
DAQ electronics model	7.5 / 10.8	✓	

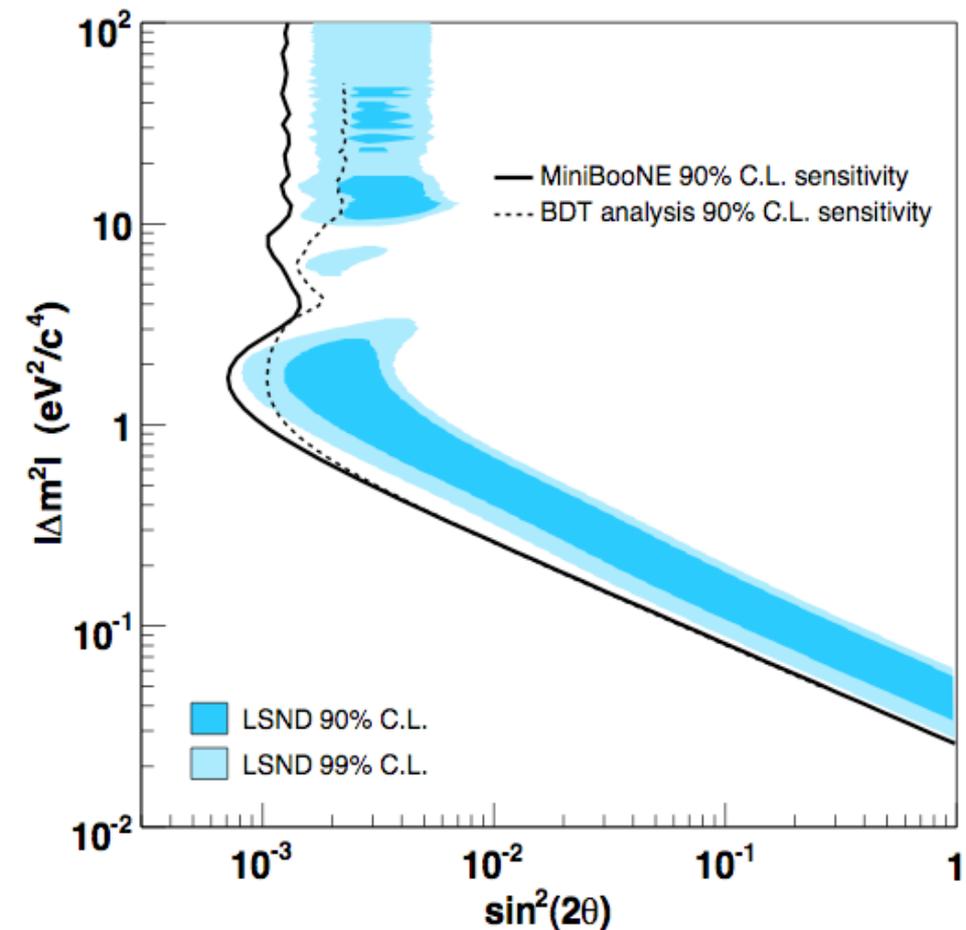


- Every checkmark in this table could easily consume a 30 minute talk
  - ➔ All error sources had some *in situ* constraint
  - ➔ Some reduced by combined fit to  $\nu_\mu$  and  $\nu_e$

- Errors arise from common uncertainties in flux, xsec, and optical model
- Reconstruction and PID unique
  - ➔ BDT had higher signal-to-background
  - ➔ TBL more impervious to systematics
  - ➔ About 50% event overlap



# BDT/TBL sensitivity comparison



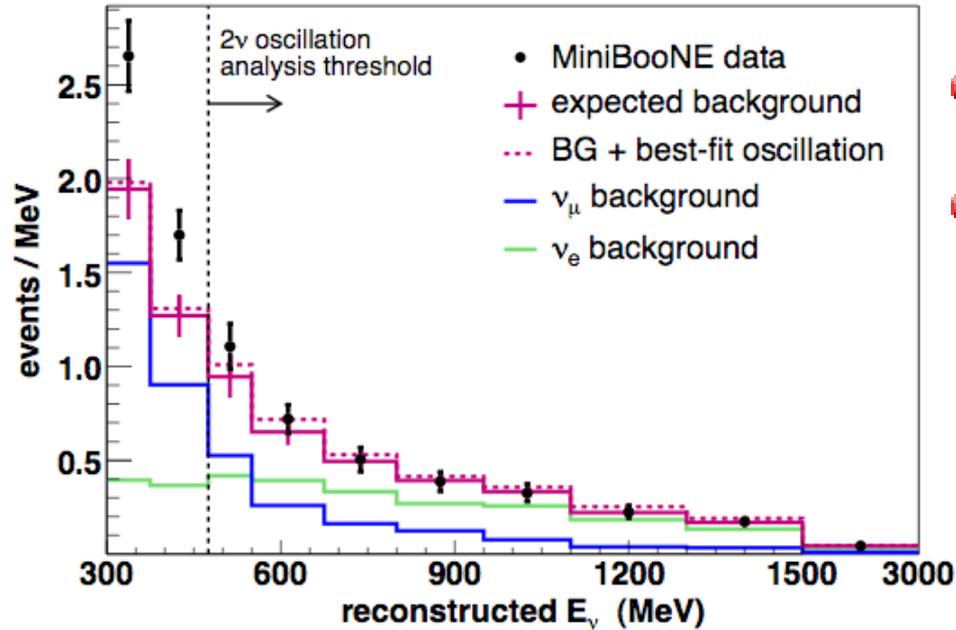
- Sensitivity is determined from simulation only (no data yet!)
- Decided before unblinding:
  - ➔ Final PID cuts
  - ➔ Region of  $E_\nu$  to fit
  - ➔ Analysis with higher sensitivity would be the final MB result
- TBL (solid) is better at high  $\Delta m^2$
- 90% CL defined by  $\Delta\chi^2 = 1.64$



After many people-years (not to mention CPU-hours)...



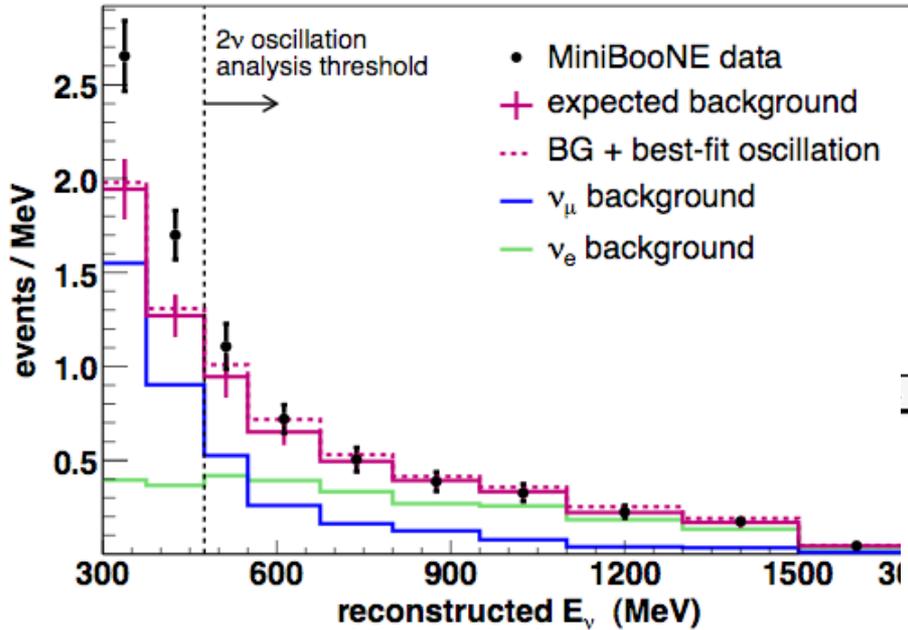
# Finally we see the data in the signal region...



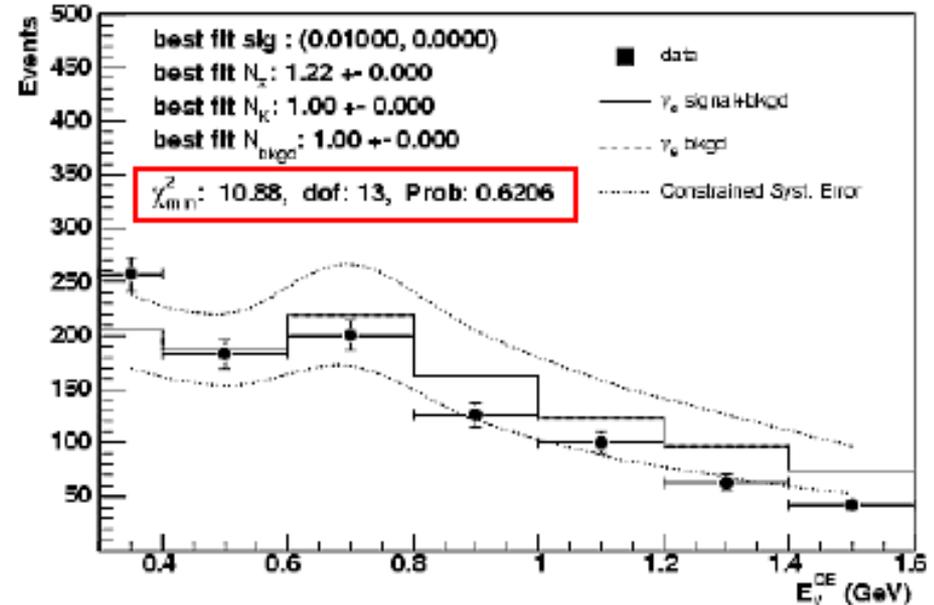
- TBL shows no sign of an excess in the analysis region
- Visible excess at low E that cannot be described based on LSND and a simple 2v mixing hypothesis



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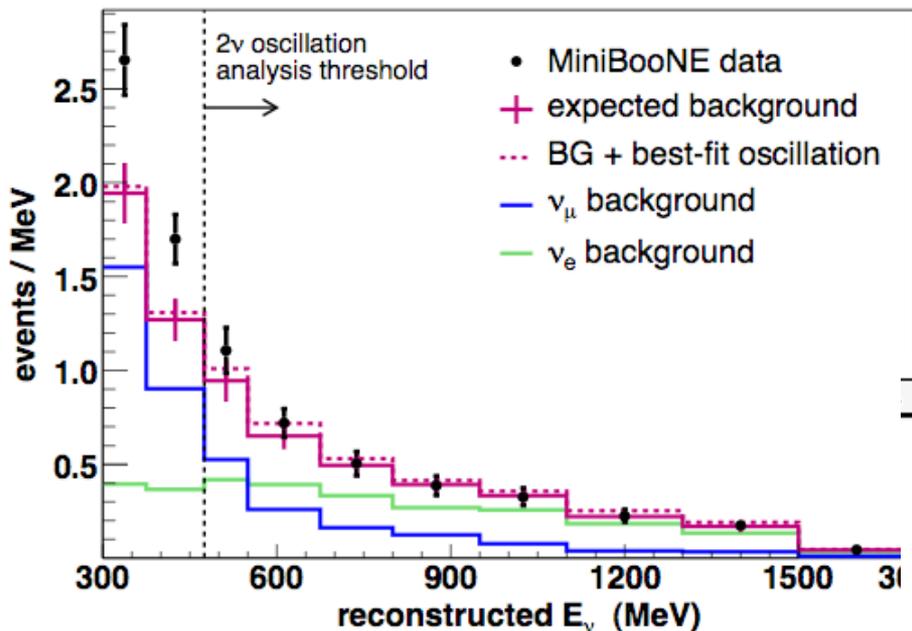


BDT has a good fit and no sign of an excess, in fact the data is low relative to the prediction

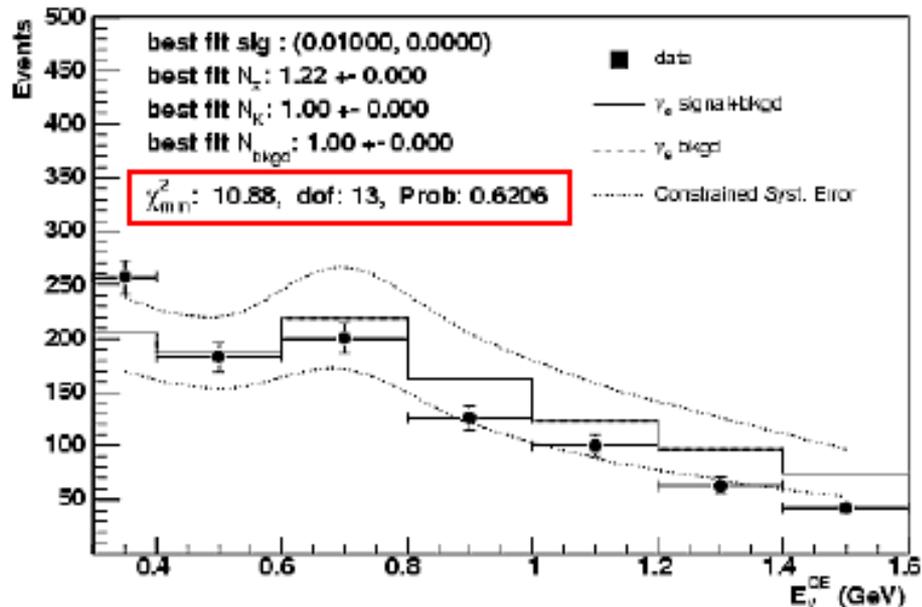
Also sees an excess at low E, but larger normalization error complicates interpretation



# Finally we see the data in the signal region...



- TBL shows no sign of an excess in the analysis region
- Visible excess at low E that cannot be described based on LSND and a simple 2v mixing hypothesis



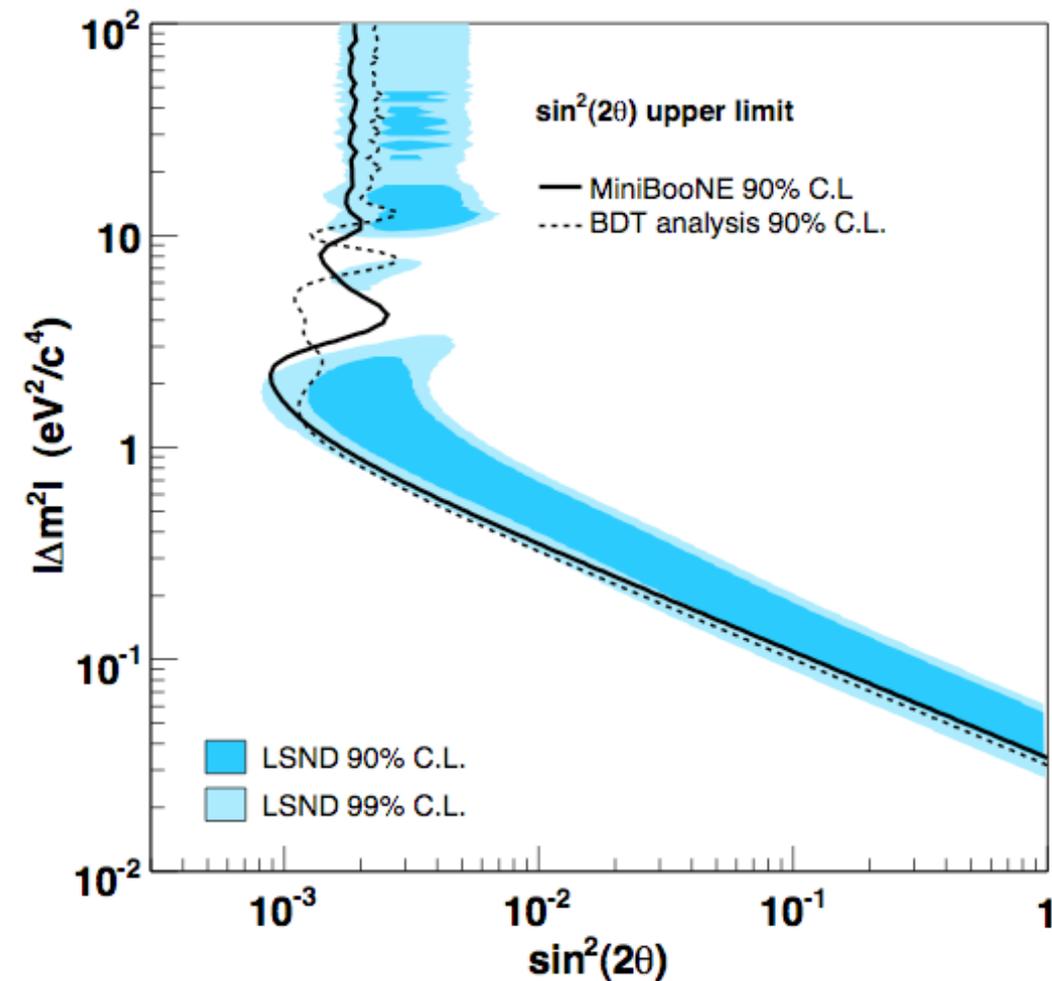
● BDT has a good fit and no sign of an excess, in fact the data is low relative to the prediction

● Also sees an excess at low E, but larger normalization error complicates interpretation

Neither analysis shows an evidence for  $\nu_\mu \rightarrow \nu_e$  appearance in the analysis region



# Fit results mapped into $\sin^2(2\theta) \Delta m^2$ plane

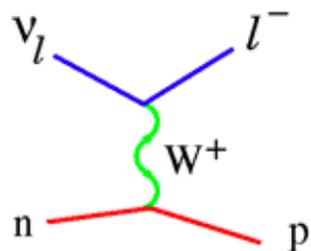


- Energy-fit analysis:
  - solid: TBL
  - dashed: BDT
- Independent analyses in good agreement
- Looks similar to sensitivity because of the lack of a signal
- Had there been a signal, these curves would have curled around and closed into contours
- MiniBooNE and LSND incompatible at a 98% CL for all  $\Delta m^2$  under a  $2\nu$  mixing hypothesis

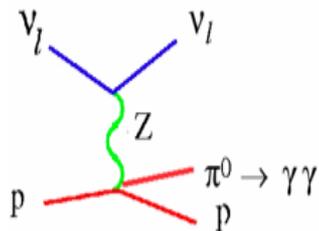


# MB cross-section analyses from NuInt07...

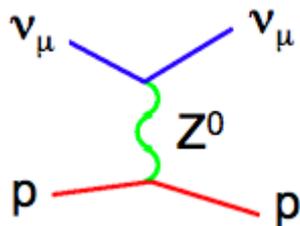
- $\nu_\mu$  CCQE



- NC  $\pi^0$

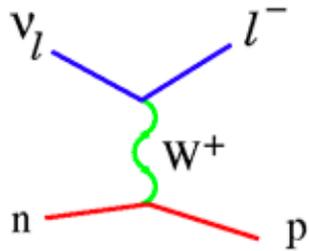


- NC elastic

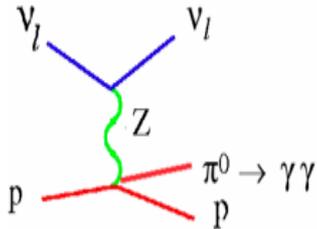


# MB cross-section analyses from NuInt07...

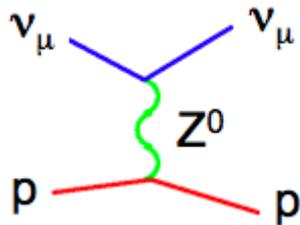
●  $\nu_\mu$  CCQE



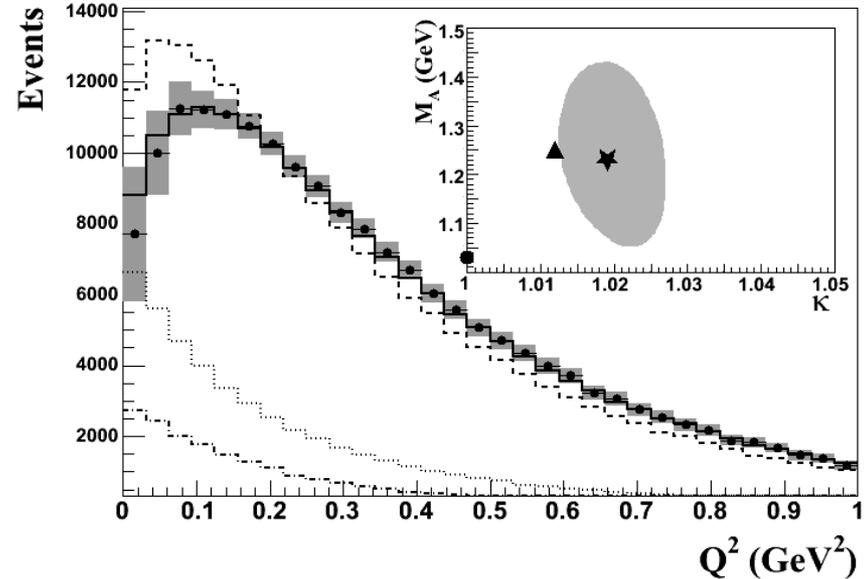
● NC  $\pi^0$



● NC elastic



$\nu_\mu$  CCQE  $Q^2$  distribution (hep-ex/0706.0926)



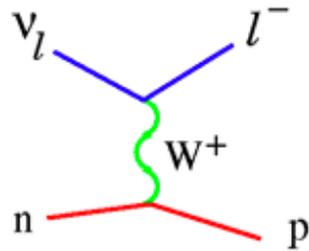
- 198,000 events allows for detailed 1 and 2d kinematic views
- Agreement between data (points) and MC (solid) after fitting for modified Fermi gas parameters
- 'Golden channel' for normalizing flux  $\times$  xsec in oscillation analysis

T. Katori, NuInt07

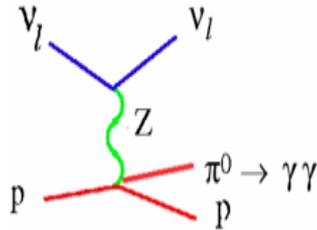


# MB cross-section analyses from NuInt07...

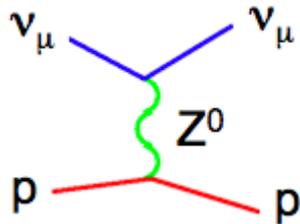
●  $\nu_\mu$  CCQE



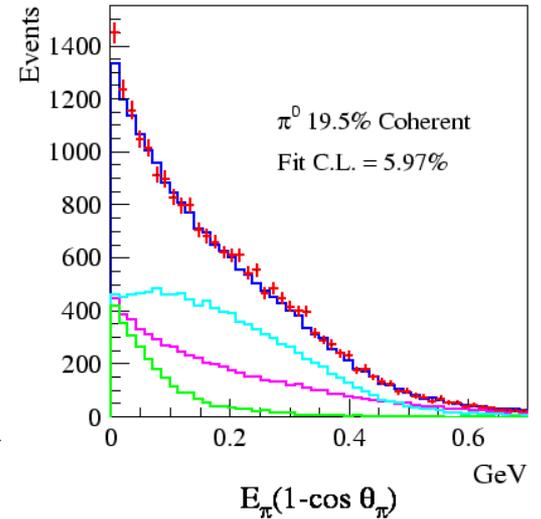
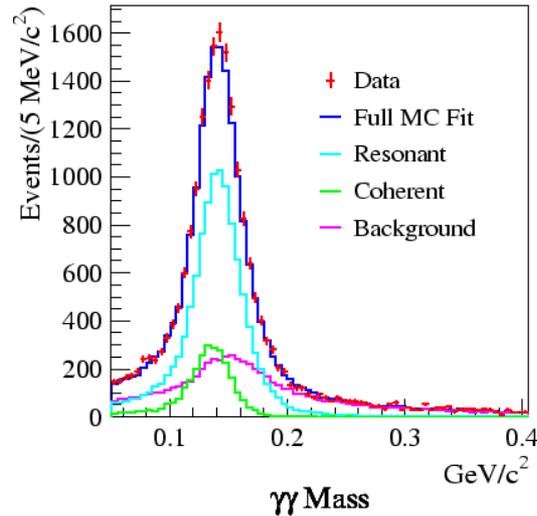
● NC  $\pi^0$



● NC elastic



## NC $\pi^0$ fits to resonant/coherent fractions



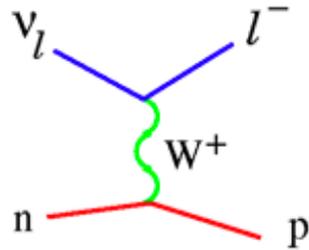
- 28,600 events, largest sample to date
- For MB flux and Nuance model we find that  $(19.5 \pm 1.1)\%$  of exclusive NC  $\pi^0$  production is coherent
- Very important background for oscillation analysis

J. Link, NuInt07

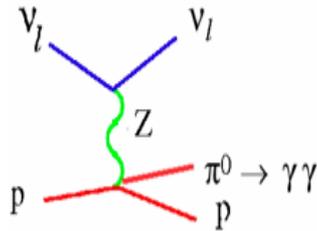


# MB cross-section analyses from NuInt07...

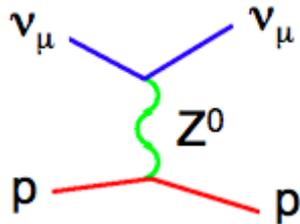
●  $\nu_\mu$  CCQE



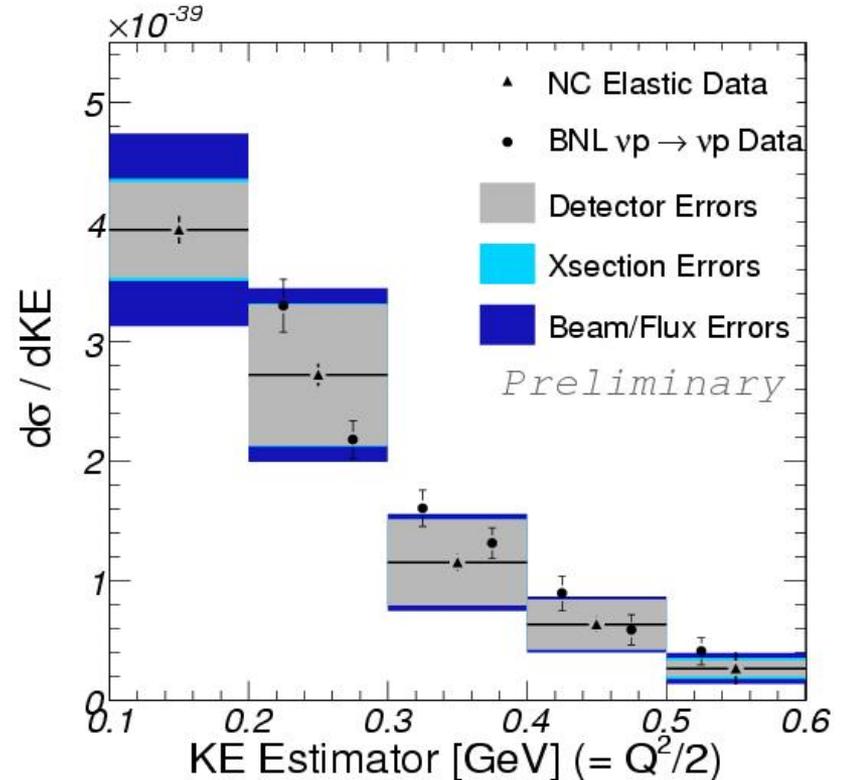
● NC  $\pi^0$



● NC elastic



## NC elastic absolute cross section



- Data shown is 10% of total sample
- Comparison to BNL E734
- First differential cross section from MB

D. Cox, NuInt07



# Conclusions

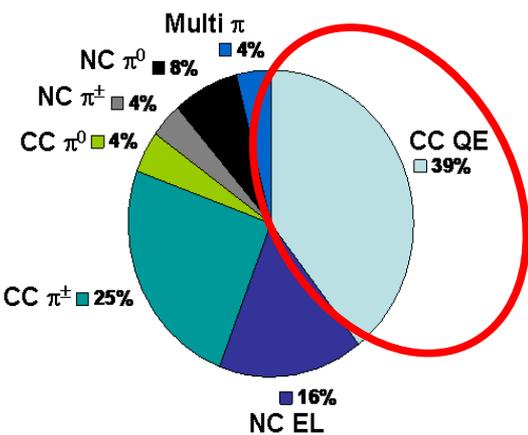
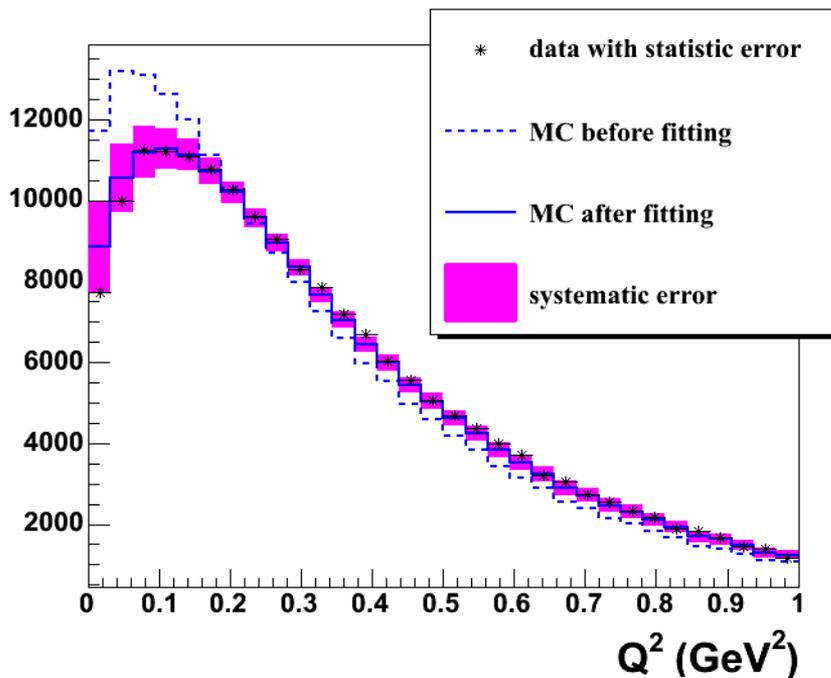
- Two systematically different analyses show no evidence for  $\nu_{\mu} \rightarrow \nu_e$  appearance in the analysis region
- Excess at low  $E_{\nu}$  is currently under investigation
- Look for lots of papers over the next year from cross-sections, further oscillation analysis, and interpretation



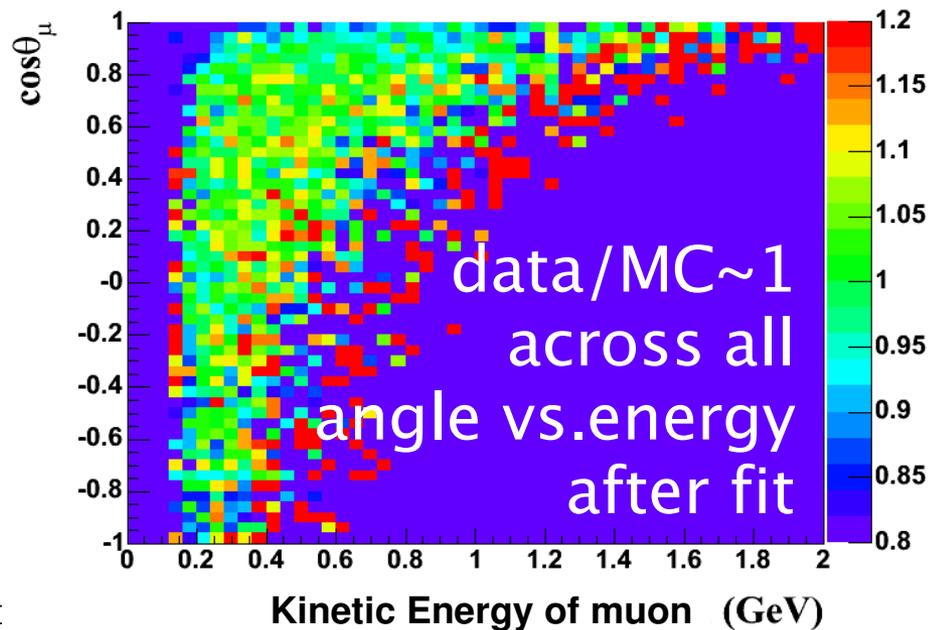
# Backup Slides



# Tuning Nuance on internal $\nu_\mu$ CCQE data



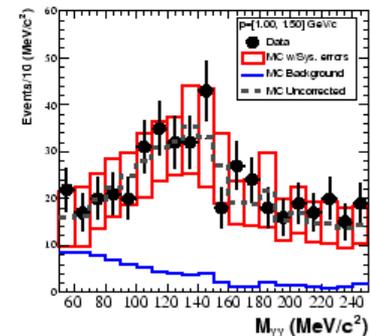
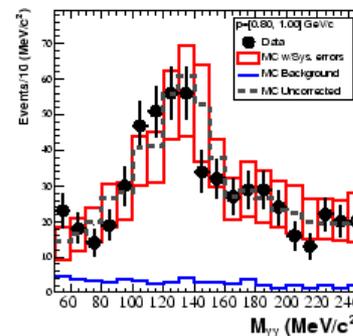
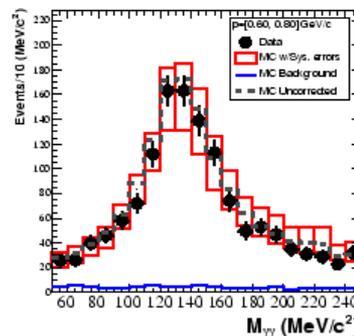
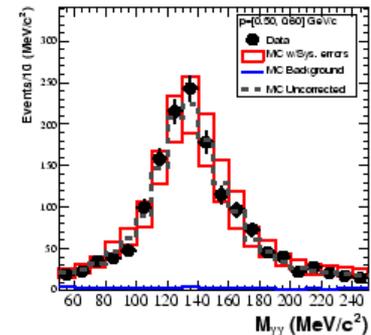
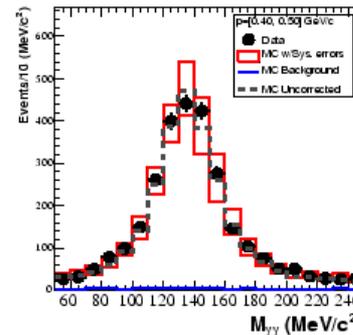
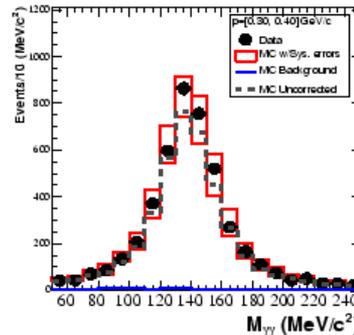
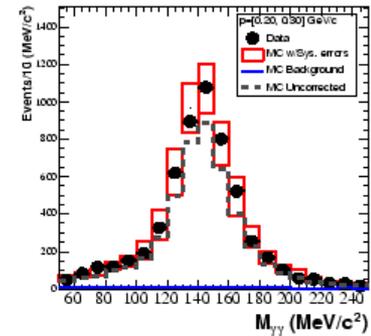
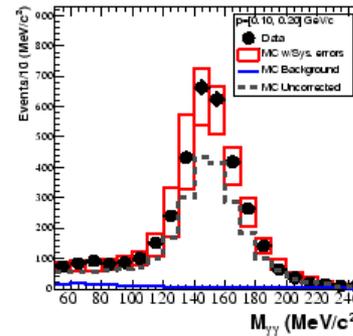
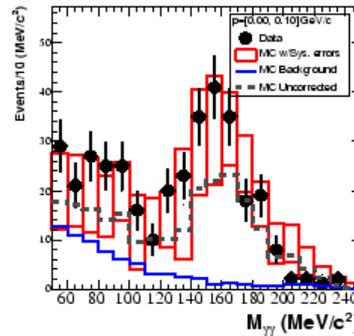
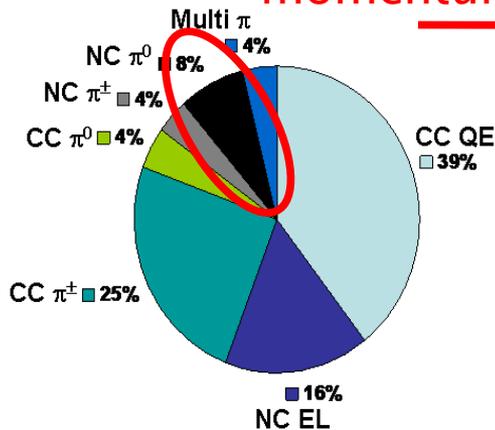
- From  $Q^2$  fits to MB  $\nu_\mu$  CCQE data:
  - ➔  $M_A^{\text{eff}}$  -- effective axial mass
  - ➔  $E_{\text{lo}}^{\text{SF}}$  -- Pauli Blocking parameter
- From electron scattering data:
  - ➔  $E_b$  -- binding energy
  - ➔  $p_f$  -- Fermi momentum
- Model describes CCQE  $\nu_\mu$  data well



# Tuning Nuance on internal NC $\pi^0$ data

- 90%+ pure  $\pi^0$  sample (mainly  $\Delta \rightarrow N\pi^0$ )
- Measure rate as function of momentum
- Default MC underpredicts rate at low momentum
- analysis reaches 1.5 GeV
- $\Delta \rightarrow N\gamma$  also constrained (though to a lesser extent)

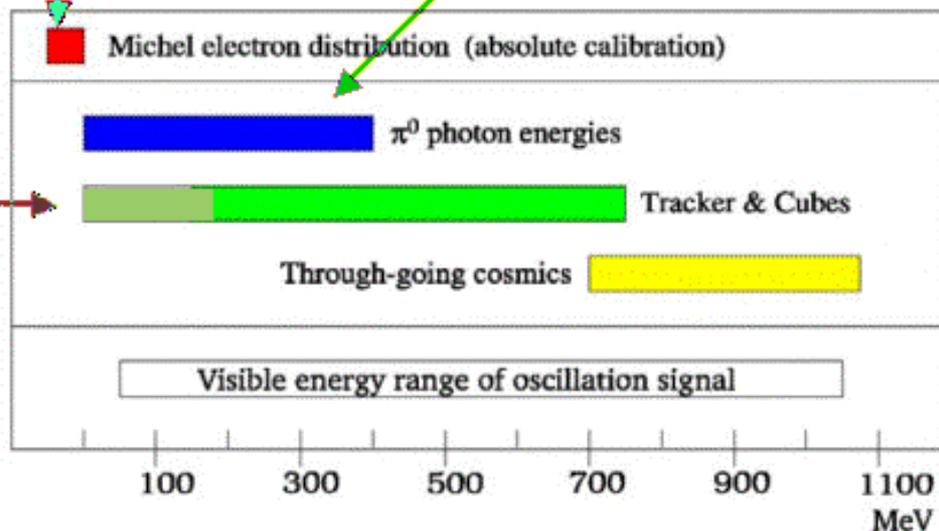
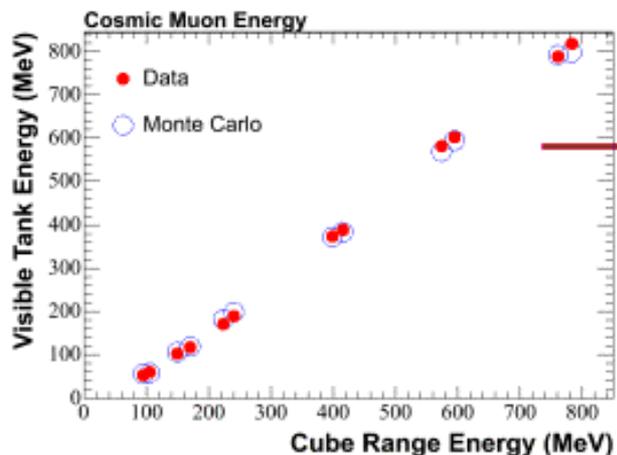
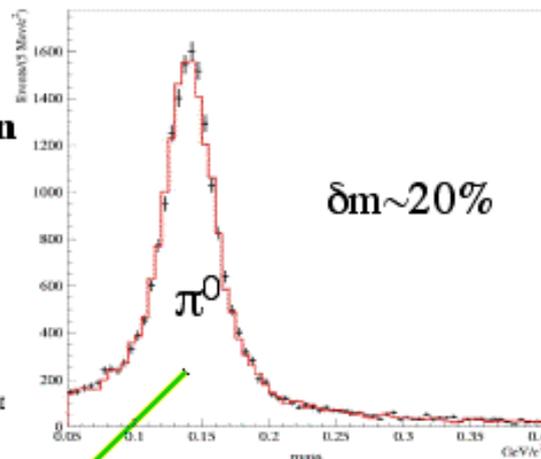
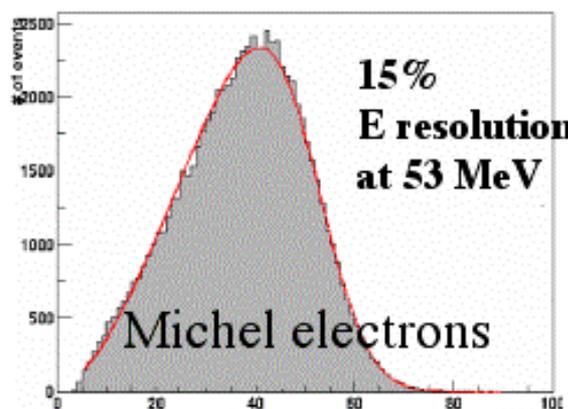
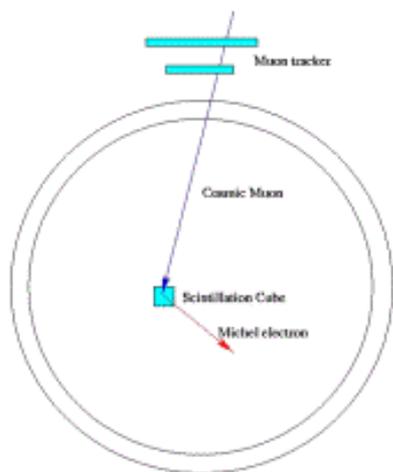
Invariant mass distributions in momentum bins



# Calibration sources span various energies

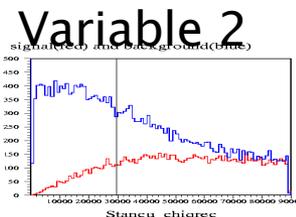
## Calibration Sources

### Tracker system



# Decision tree example

(sequential series of cuts based on MC study)



1906/11828

sig-like  
7849/11867

9755/23695

$(N_{\text{signal}}/N_{\text{bkgd}})$

sig-like

bkgd-like

bkgd-like

30,245/16,305

20455/3417

sig-like bkgd-like

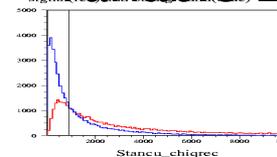
9790/12888

etc.

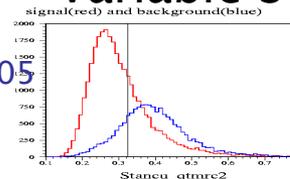


*This tree is one of many possibilities...*

Variable 1



Variable 3

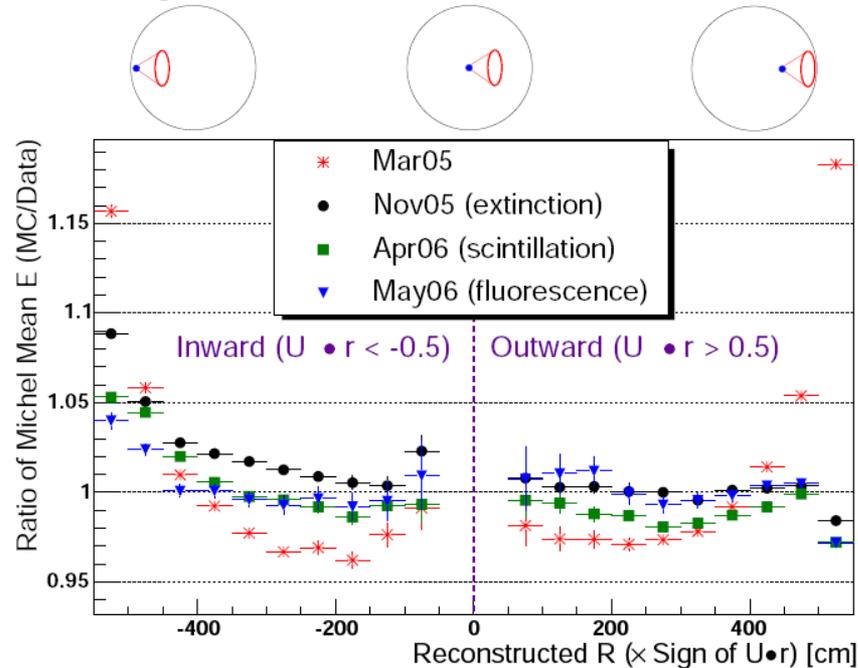


- Optimal cuts on each variable are determined
- An event gets a weight of 1 if signal -1 if background
- Hard to identify backgrounds are iteratively given more weight
- Many trees built
- PID 'score' established from ensemble

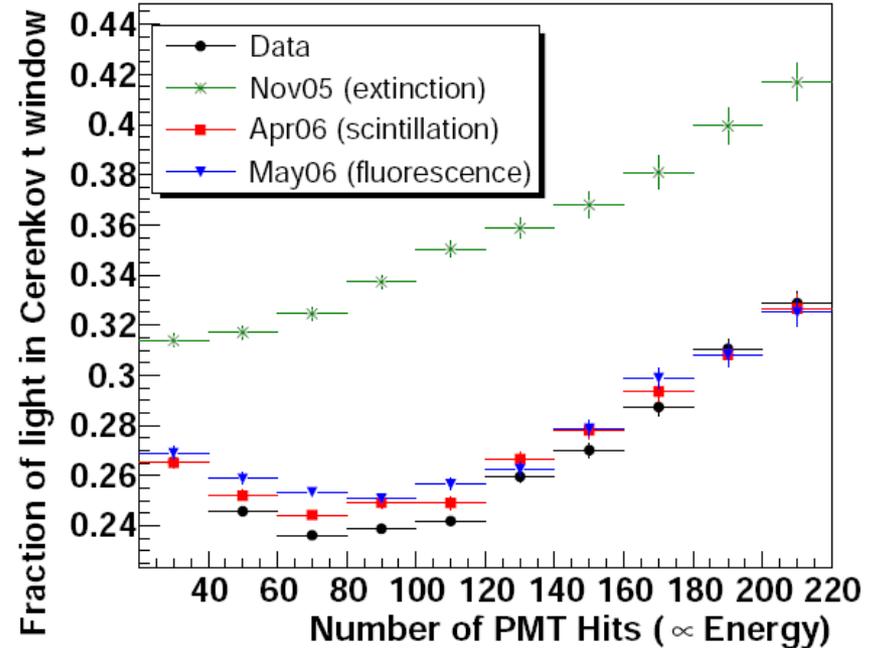


# Tuning the optical model

Using Michel electrons...



Using NC elastic  $\nu$  interactions...



- Initial optical model defined through many benchtop measurements
- Subsequently tuned with *in situ* sources, examples
  - ➔ Left: Michel e populate entire tank, useful for tuning extinction
  - ➔ Right: NC elastic  $\nu$  interactions below Cerenkov threshold useful for distinguishing scintillation from fluorescence



# Future work for MiniBooNE

- Papers in support of this analysis
  - ➔ NC  $\pi^0$  background measurement
  - ➔  $\nu_\mu$  CCQE analysis
- Continued improvements of the  $\nu$  oscillation analysis
  - ➔ Combined BDT and TBL
  - ➔ More work on reducing systematics
- Re-examine low E backgrounds and significance of low E excess

- Lots of work on cross-sections
- MiniBooNE has more  $\nu_\mu$  interactions than any prior experiment and they are in an energy range relevant to future  $\nu$  experiments.
- Event count before cuts:

$\nu$ channel	events
all channels	810k
CC quasielastic	340k
NC elastic	150k
CC $\pi^+$	180k
CC $\pi^0$	30k

- Currently running in anti- $\nu$  mode for anti- $\nu$  cross sections

