

SUBJECT:	Fermilab Assessment Manual – Chapter 5 Surveillance Procedure Report - Form 2	NUMBER:	3902.1005 FORM 2
RESPONSIBILITY:	Quality Assurance Manager	REVISION:	001.2
APPROVED BY:	Head, Office of Quality and Best Practices	EFFECTIVE:	10/08/2010

Fermilab Independent QA Validation Report	
Assessment Number & Title: 11-1A-QA-010 Cap Validation	Version 1
Planned Dates of Assessment:	
<ul style="list-style-type: none"> • 05/23/11 – 06/10/11 Validation of specific CAPs detailed below • 05/23/11 – 06/10/11 Individual reports of CAPs 	
Performing Organization: Office of Quality & Best Practices	
Assessed Organization(s): As appropriate	
Purpose: Validate the effectiveness of implemented, verified, and closed CAPs.	
Scope: Examination of the implementation of specific QA corrective action plans (CAPs) approximately †6 or more months after closure to determine continued effectiveness. Where the effectiveness is insufficient as indicated by objective evidence, a new CAP will be issued to the responsible person. <i>† The period between verification and validation may vary depending on the cycle time of the activity.</i>	
Assessors' Names (Asterisk indicates Lead):	
<ul style="list-style-type: none"> • T. Gehrke* - OQBP 	
CAPs Reviewed	Validation Status
AD-2010-01-29-1 Audit 7835	Yes
ES-2010-03-25-1 Audit Nuclear Materials	Yes
FS-2009-11-30-1 Audit T&M	Yes
OQ-2009-05-04-2 As-Is Assessment Types	Yes
OQ-2009-05-04-3 As-Is PII Training	Yes
OQ-2009-05-06-4 As-Is Quality Training	Yes
OQ-2009-05-22-2 As-Is Eng. Manual	Yes
OQ-2009-05-30-2 As-Is Issues Management	Yes
OQ-2009-05-30-3 As-Is Lessons Learned	Yes
OQ-2009-05-30-5 As-Is Root Cause	Yes
OQ-2009-05-30-6 As-Is S/CI Procedure	Yes
OQ-2009-05-30-7 As-Is Policy for Scientific Research	Yes
OQ-2009-05-30-8 As-Is Guidelines for Scientific Research	Yes
OQ-2010-01-04-1 DOE Two levels of CAPs	Yes
OQ-2010-01-04-4 DOE Different Acceptance Testing	Yes
WD-2009-04-02-5 As-Is Lack of PII training	Yes
Reference Documents: Fermilab Corrective & Preventive Action Procedure 1004.1001	