

DOE/NSF-HEPAP/NSAC  
Neutrino Scientific Assessment Group  
“NuSAG”

## From the original charge to NuSAG:

...we ask the NuSAG to make recommendations on the specific experiments that should form part of the broad U.S. neutrino science program.

- September 1, 2005: **Recommendations to the Department of Energy and the National Science Foundation on a United States Program in **Neutrino-less Double Beta Decay****
- February 28, 2006: **Recommendations to the Department of Energy and the National Science Foundation on a U.S. Program of **Reactor- and Accelerator-based Neutrino Oscillation Experiments****

## **Members of NuSAG**

Eugene Beier (University of Pennsylvania and Co-Chair)

Peter Meyers (Princeton University and Co-Chair)

Leslie Camilleri (CERN)

Boris Kayser (Fermi National Accelerator Laboratory)

Ed Kearns (Boston University)

Bill Louis (LANL)

Naomi Makins (University of Illinois)

Tsuyoshi Nakaya (Kyoto University)

Guy Savard (Argonne National Laboratory)

Heidi Schellman (Northwestern University)

Gregory Sullivan (University of Maryland)

Petr Vogel (California Institute of Technology)

Bruce Vogelaar (Virginia Tech)

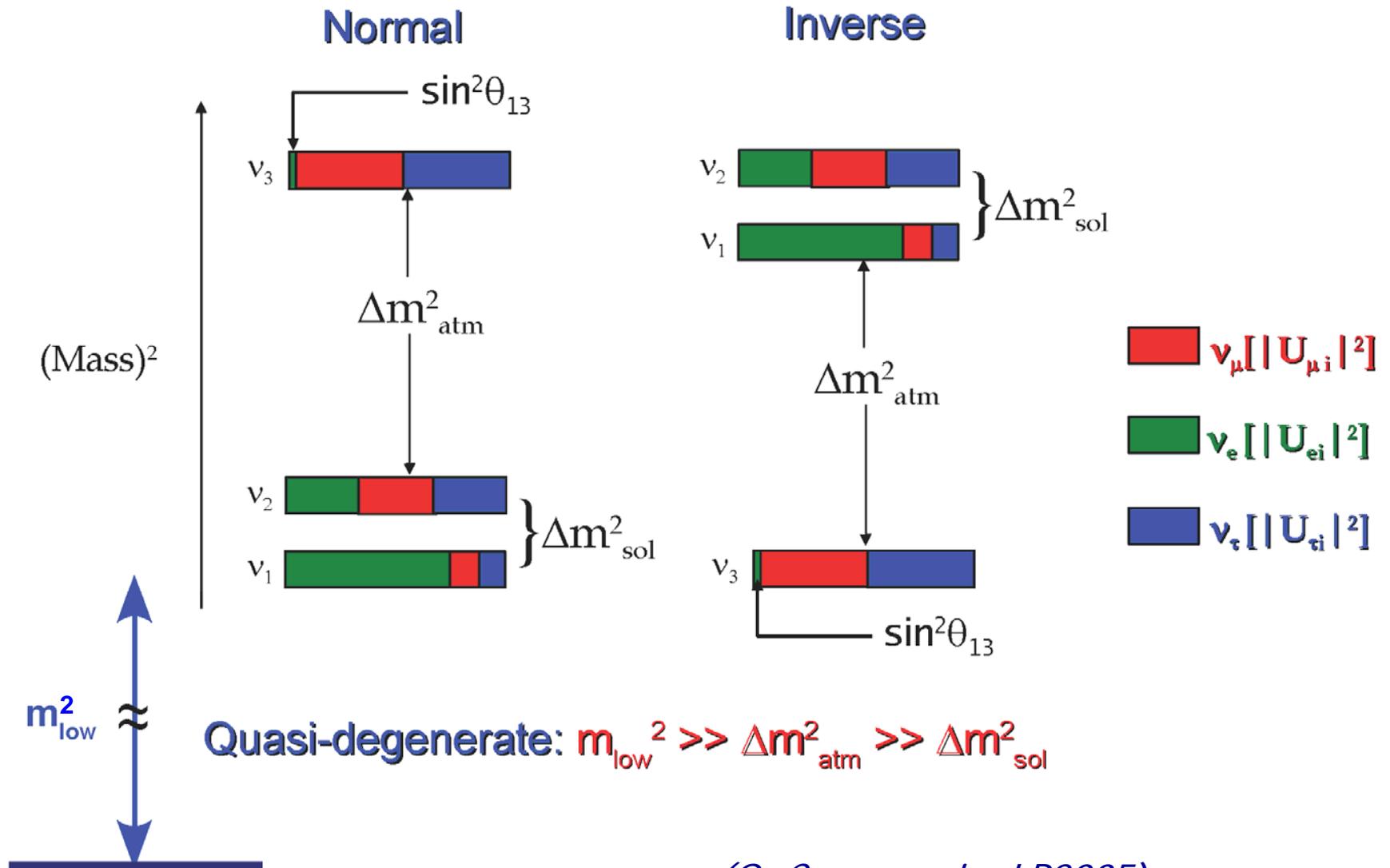
Glenn Young (Oak Ridge National Laboratory)

Melvin Shochet (University of Chicago) *ex officio*

Robert Tribble (Texas A&M) *ex officio*

HEP/nuclear, expt/theory, US/not, v physics/not

# The paradigm: 3-ν mixing



(O. Cremonesi – LP2005)

# Goals of the next phases of the worldwide experimental program in neutrino oscillations

Fill out our understanding of 3-neutrino mixing and oscillations:

- What are the orderings and splittings of the neutrino mass states?
- What are the mixing angles?
- Is there CP violation in neutrino mixing?

(Thanks to Boris Kayser)

# Accelerator $\nu_\mu \rightarrow \nu_e$ appearance

$$P[\bar{\nu}_\mu \rightarrow \bar{\nu}_e] \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta_{31} + \sin 2\theta_{13} \cos \theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \sin \Delta_{31} \sin \Delta_{21} \cos(\Delta_{32} \pm \delta) + \sin^2 2\theta_{12} \cos^2 \theta_{23} \cos^2 \theta_{13} \sin^2 \Delta_{21}$$

**unknowns**

$\nu$   
 $\bar{\nu}$   
(solar)

$(\Delta_{ij} \equiv 1.27 \Delta m_{ij}^2 (eV^2) L(km) / E(GeV))$

Sensitivity to mass hierarchy via “matter effects”:

Passage through matter:

Normal: increases  $\nu_\mu \rightarrow \nu_e$ , decreases  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

Inverted: decreases  $\nu_\mu \rightarrow \nu_e$ , increases  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

Note:  $\sin 2\theta_{13}$  a factor in all the physics we are after!

# Reactor $\bar{\nu}_e$ disappearance

$$P[\bar{\nu}_e \rightarrow \text{Not } \bar{\nu}_e] \cong \sin^2 2\theta_{13} \sin^2 \Delta_{31} + \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21}$$

← small at max of first term

## Accelerator-based oscillation experiments

- $\theta_{13} > 0$
- mass ordering      if  $\theta_{13}$  large enough
- CP violation      if  $\theta_{13}$  large enough
- parameter extraction limited by degeneracies  
    combine energies or reactor

## Reactor-based oscillation experiments

- measure only  $\theta_{13}$       but without ambiguity
- combine with accelerator to break degeneracies  
    in some regions, if sufficient precision

## “Phase 1”: currently approved or planned

### Reactor experiments

- Double Chooz:  $3\sigma$  sens  $\sin^2 2\theta_{13} \sim 0.05$  by 2012
- Daya Bay:  $3\sigma$  sens  $\sin^2 2\theta_{13} \sim 0.02$  by 2013

### Accelerator experiments (with currently planned beam power)

- T2K:  $3\sigma$  sens  $P(\nu_\mu \rightarrow \nu_e) \sim 0.01$  by 2014 (est.)
- NOvA:  $3\sigma$  sens  $P(\nu_\mu \rightarrow \nu_e) \sim 0.005$  by 2016 (est.)
- NOvA+T2K: some sensitivity to mass hierarchy at the highest currently allowed  $\theta_{13}$ 's

## “Phase 2”: NuSAG's current charge

- Next round of accelerator experiments to extend mass-hierarchy and CP violation sensitivity to  $\sin^2 2\theta_{13} \sim 0.01$

From NuSAG's second charge letter:

“Assuming a **megawatt class proton accelerator** as a neutrino source, please answer the following questions for accelerator-detector configurations including those needed for a **multi-phase off-axis program** and a very-long-baseline **broad-band program**.”

The questions:

- Scientific potential
- Associated detector options, including rough cost
- Optimal timeline, including international context
- What other scientific inputs are needed?
- What additional physics can be addressed?

**Technical input from BNL-FNAL Study Group**

# U.S. experimental scenarios using these approaches

## All start with Fermilab Main Injector

- Max achieved beam power: 315 kW @ 120 GeV
- Initial upgrade plan to 700 kW
- Longer-term upgrade plan to 1.2 MW
- Less beam power at lower energies

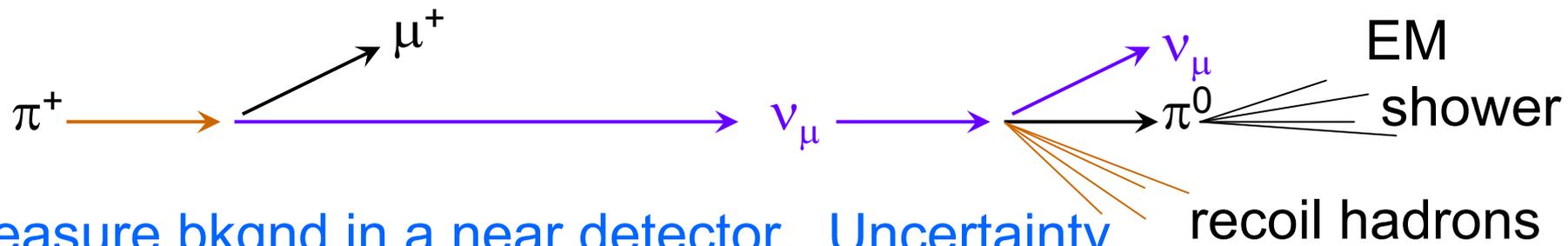
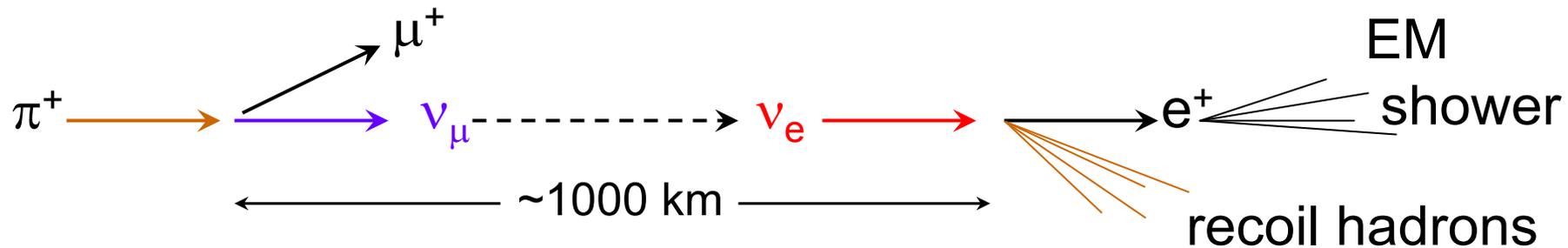
## Off-axis

- ~100 kt of Liquid Argon TPC
- Use existing/upgraded NuMI beam
- Deploy all at NOvA (810 km) site, or split with “2<sup>nd</sup> max”

## Wide-band beam, very long baseline

- ~300-500 kt of water Cherenkov (or ~100 kt LArTPC)
- In DUSEL (1300-1500-2500 km)
- New neutrino beam

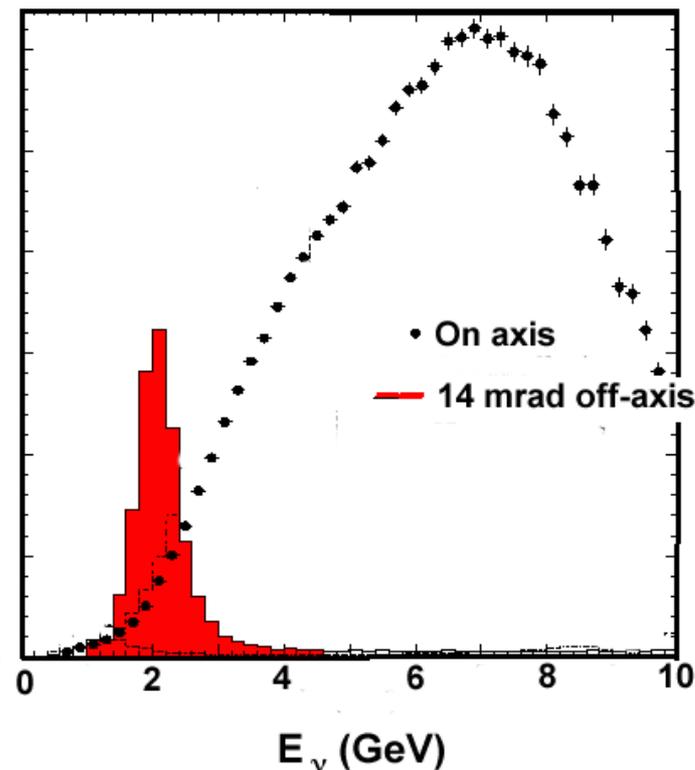
# Accelerator $\nu_\mu \rightarrow \nu_e$ appearance experiments



Measure bkgnd in a near detector. Uncertainty in these measurements become systematic uncertainty in result

## Off-axis approach

- At a fixed angle from  $\pi$  beam direction,  $\pi$ 's of **all** energies give  $\nu$ 's of about the **same** energy – a narrow-band beam
- Lose flux, but loss of HE flux decreases NC  $\pi^0$  production
- Much of the remaining  $\pi^0$  background reconstructs to below beam energy
- $\nu_e$  from K at different energy



# Ambiguities/degeneracies: example

At a single energy and baseline (NOvA's used here),  
a **perfect** measurement of  $P(\nu_\mu \rightarrow \nu_e) = 0.02$

- Establishes  $\theta_{13} > 0$

but

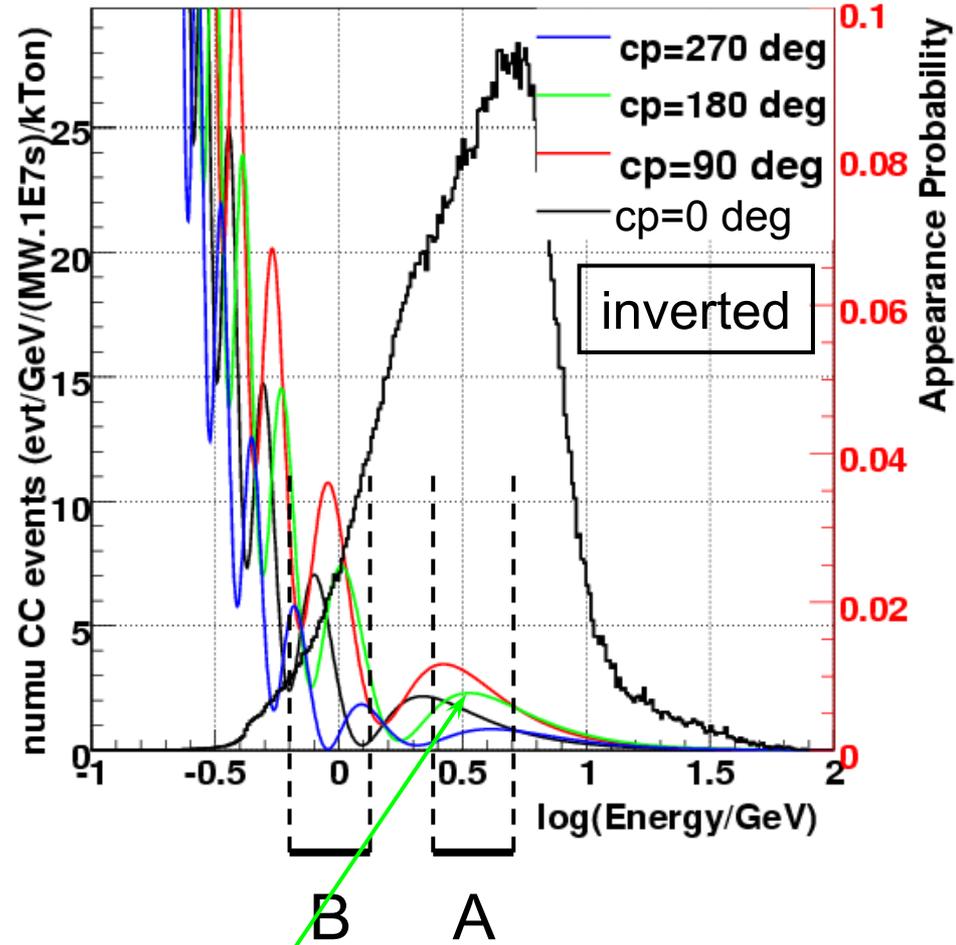
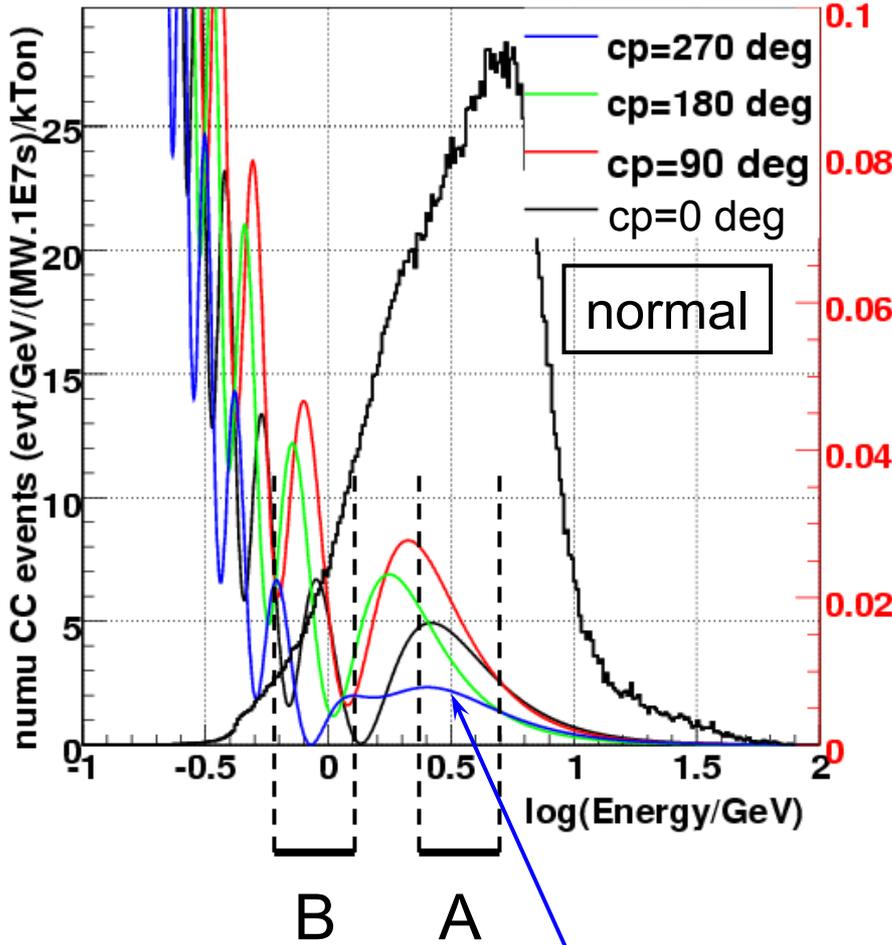
- Is consistent with
  - $0.025 < \sin^2 2\theta_{13} < 0.075$
  - either mass hierarchy
  - any CP phase  $\delta$  (including zero)
- Need more measurements: anti- $\nu$ , other  $E$ , reactor, ...  
(more examples if you ask)

# Wide-band Beam approach

- Energy dependence lifts degeneracies
- ~On-axis beam maximizes flux for long baselines
- Long baselines enhance matter effect

but:

- High energy beam components bring  $\pi^0$  background



In band A: max CPV/normal ~ no CPV/inverted

In band B: node  $\neq$  peak

# Other physics with 100-500 kt neutrino detectors

Proton decay

Neutrinos from galactic supernovae

Diffuse SN neutrino background

Solar neutrino physics

Note: must ask if these require additional instrumentation

# Detector technologies

## Water Cherenkov

- Known, successful technology for  $\nu$  osc and p decay
- Must be (deep?) underground: DUSEL
- R&D on large caverns
- PMT's drive cost and construction time
- R&D for new light sensors

## LArTPC

- Ability to reconstruct events in detail  $\rightarrow$  excellent  $\pi^0$  rejection and  $\sim 3\times$  efficiency of Water-C
- Aggressive R&D needed to prove feasibility at 50-100 kt scale with drastically reduced costs
- Plausible that it can work at surface – proof needed
- $p \rightarrow K^+\nu$ , a possibly favored proton decay mode

# Off-axis

## Pro:

- Reduced  $\pi^0$  background
- Use existing beam
- Near detector same as far
- Allows incremental program (but steps still \$\$!)

## Con:

- Must deal with ambiguities of  $\sim$ single energy
- 2<sup>nd</sup>-max site has very low event rates, HE  $\nu$ 's from K's
- Detector must be on surface to use NuMI beam – cannot use Water-C
- LArTPC needs intensive R&D
- Near detector sees very different beam

# Wide-band beam, very long baseline

## Pro:

- Full energy spectrum for resolving ambiguities
- Proven technology
- DUSEL deployment gives broader physics program
- Recent progress in Water-C  $\pi^0$  rejection

## Con:

- Large, ~all-at-once cost
- DUSEL timeline consistent with other constraints?
- With PMT's the cost driver, cost sensitive to coverage needed for  $\pi^0$  rejection, other physics
- Near detector can't be Water-C(?)

# Current status and NuSAG plans

- BNL/FNAL Study Group has done directly-comparable sensitivity calculations for the different scenarios
- NuSAG's goal: sort through and develop recommendations for neutrino program
- One strategic issue seems clear: can't start construction on Phase 2 without an observation of non-zero  $\theta_{13}$
- Optimum physics/\$: approach may depend on magnitude of  $\sin^2 2\theta_{13}$
- R&D needed: LArTPC, PMT's, large caverns, high beam power
- NuSAG report to HEPAP before next HEPAP meeting



# The paradigm: 3- $\nu$ mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

With  $c_{ij} \equiv \cos \theta_{ij}$  and  $s_{ij} \equiv \sin \theta_{ij}$ :

	Reactor $\bar{\nu}_e$		
Atmospheric $\nu_\mu$	Accelerator $\nu_\mu$	Solar $\nu_e$	Majorana CP phases

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \times \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \times \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\theta_{23} \approx \theta_{\text{atm}} \approx 45^\circ; \theta_{12} \approx \theta_{\text{sol}} \approx 34^\circ; \theta_{13} \leq 10^\circ$$

$\delta$  can lead to  $P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$

Examples:

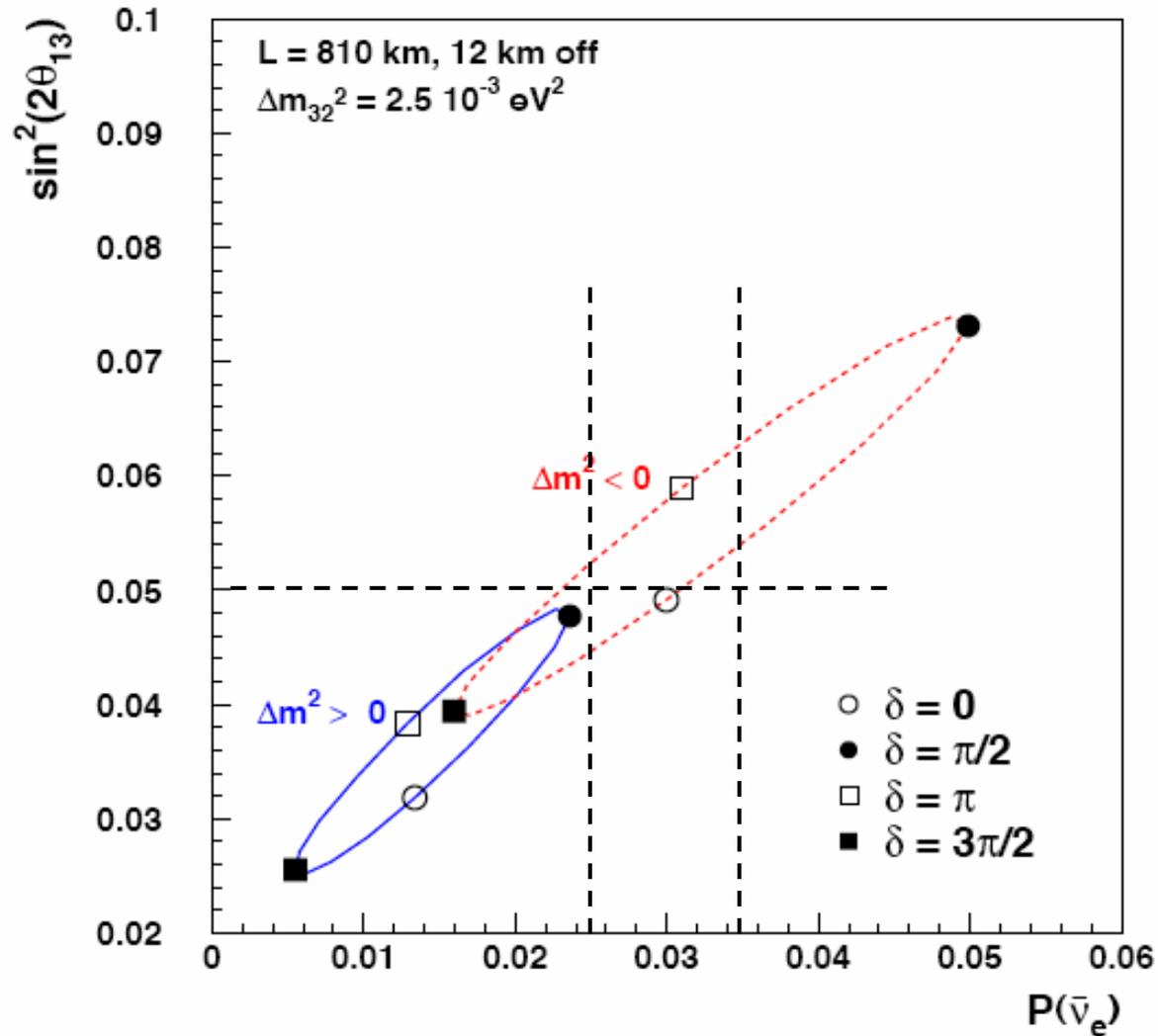
With  $P(\nu_\mu \rightarrow \nu_e) = 0.02$ :

- $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) > 0.025$  determines mass hierarchy,  $> 0.035$  establishes CP violation

or:

- Reactor measures  $\sin^2 2\theta_{13} > 0.05$ : mass hierarchy determined

$\sin^2(2\theta_{13})$  vs.  $P(\bar{\nu}_e)$  for  $P(\nu_e) = 0.02$



(thanks to Gary Feldman) 23

A harder case:

With  $P(\nu_\mu \rightarrow \nu_e) = 0.01$ :

- $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \sim 0.015$  leaves mass hierarchy and CP violation unknown
- Reactor unlikely to settle things in this region

$\sin^2(2\theta_{13})$  vs.  $P(\bar{\nu}_e)$  for  $P(\nu_e) = 0.01$

